

# Environmental Science Water Research & Technology

Accepted Manuscript



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## Water impact

The nitrification/anammox process is an emerging technology to remove nitrogen from wastewater with the final goal to prevent eutrophication. To ensure long-term process stability, the activity of the slow-growing anammox bacteria must be known. Mass balances are often used to determine the anammox activity, but heterotrophic activity (e.g. denitrification) is usually neglected in such calculations. This is critical for wastewaters containing considerable amounts of organic substrate (e.g. municipal wastewater in the mainstream or urine). We show that, in theory, mass balances allow determining anammox activity also under such conditions, but, in practice, the variance of the calculated rates is too high to be meaningful for plant operation. Alternative methods must be used for determining the anammox activity.

1        Observability of anammox activity in single-stage  
2        nitrification/anammox reactors using mass balances

3  
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10

11 **ABSTRACT**

12 In nitrification/anammox reactors, several bacterial groups contribute to the overall nitrogen  
13 conversion. Knowing the activity of the main bacterial groups, especially of anaerobic  
14 ammonium-oxidising bacteria (AMX), is extremely helpful to understand the process and  
15 optimise its operation. Mass balances of dissolved compounds such as ammonium, nitrite and  
16 nitrate commonly allow determining bacterial activities in a nitrification/anammox process, but  
17 the activity of heterotrophic bacteria (HET) is usually neglected. However, even in wastewater  
18 with low contents of organic substrate, heterotrophic denitrification can contribute substantially  
19 to nitrogen removal. The goal of this study was to critically evaluate the applicability of mass  
20 balances for the determination of the relevant bacterial activities in a nitrification/anammox  
21 process with high HET activity. We set up and solved mass balances of different degrees of  
22 complexity. Both, with catabolic reactions alone and with balances according to the activated  
23 sludge model stoichiometry, the resulting linear equation system does not allow estimation of  
24 any of the considered bacterial activities. When kinetic rate expressions are included, it is  
25 possible to compute the concentrations of all considered bacterial groups, but the estimation  
26 uncertainty is far too high for practical purposes: the relative standard deviation for AMX is  
27 5280%. In a completely autotrophic system the relative standard deviation for AMX is only 5%,  
28 which proves that the high standard deviations are due to the complexity of the nitrification-  
29 anammox process with HET activity. The high standard deviations of the calculated bacterial  
30 concentrations can be significantly reduced by adding an additional mass balance for the total  
31 biomass (standard deviation for AMX activity 1210%). The required number of measurements  
32 to achieve an acceptable precision, in our example about 600 conversion rate measurements to  
33 reach a 50% standard deviation for the AMX concentration, is still far too high though for  
34 practical purposes. To conclude, mass balances including kinetics theoretically allow the  
35 observation of the bacterial activities in nitrification/anammox reactors with high HET activity.  
36 However, the required precision of the calculated conversion rates, the uncertainty of

37 stoichiometric and kinetic parameters and reactor dynamics (unsteady conditions) makes mass  
38 balances unsuitable for practical estimation of AMX activity. Thanks to high frequency and  
39 new online instruments, mass balances might become a suitable tool in the future.

40

## 41 1. INTRODUCTION

42 Nitrogen removal using the nitrification/anammox process is a cost efficient alternative to  
43 conventional nitrification/denitrification, thanks to reductions in the requirements for oxygen  
44 and organic substrates in comparison to conventional nitrification/denitrification processes.  
45 However, maintaining a high activity of anammox bacteria (AMX) can be challenging.<sup>1</sup>  
46 Especially in reactors with high ratios of biodegradable organic carbon to nitrogen (COD/N)  
47 decreasing AMX activity might not be noticed in time, because heterotrophic bacteria (HET)  
48 take over a considerable part of the nitrogen removal from AMX.<sup>2</sup> Several analytical and  
49 experimental methods exist for the reliable determination of AMX concentrations or activities  
50 as Podmirseg *et al.*<sup>3</sup> have shown recently. However, all of these methods require instruments  
51 which are not available at typical wastewater treatment plants. It would be desirable to be able  
52 to calculate the activities of the involved bacterial groups from regularly measured variables for  
53 performance monitoring such as the concentrations of for example ammonium and nitrite.

54 Mass balances for nitrogen compounds, *i.e.*, ammonium, nitrite and nitrate, have frequently  
55 been used to calculate the activities of aerobic ammonium-oxidising bacteria (AOB), nitrite-  
56 oxidising bacteria (NOB) and AMX in nitrification/anammox reactors.<sup>4,5</sup> However, as elaborated  
57 by Mutlu *et al.*,<sup>6</sup> the calculation of AOB, NOB and AMX activity with such mass balances is  
58 coupled to the assumption that the activity of HET is negligible. Quite frequently, this  
59 assumption is incorrect. On one hand, it has been shown experimentally that even in biofilm  
60 systems without organic carbon in the influent, up to 50% of the biomass can be heterotrophic,  
61 supported by microbial decay products.<sup>7,8</sup> On the other hand, wastewater almost always  
62 contains biodegradable organic matter. Digester supernatant, which is the most common

63 influent for a nitrification/anammox system has biodegradable organic carbon to nitrogen  
64 (COD/N) ratios in the range of 0.2 to 0.5 g COD·g N<sup>-1</sup>.<sup>9,10,11</sup> Some wastewaters even have  
65 elevated COD/N ratios in the range of 1 to 1.5 g COD·g N<sup>-1</sup>, which is still not high enough for  
66 complete nitrogen removal via heterotrophic denitrification. Examples are stored urine with a  
67 theoretical COD/N ratio between 1 g COD·g N<sup>-1</sup> and 1.5 g COD·g N<sup>-1</sup>.<sup>12,13</sup> COD/N ratios of  
68 approximately 1 g COD·g N<sup>-1</sup> are also expected in the recently discussed integration of  
69 anammox into mainstream wastewater treatment.<sup>14,15</sup>

70 To our knowledge, only three studies included COD consumption in their mass balances to  
71 assess the bacterial activities in a nitrification/anammox process.<sup>16,17,18</sup> These three studies used  
72 four equations representing the conversion of ammonium, nitrite, nitrate and COD. As only four  
73 unknowns can be determined with four independent equations, the authors considered only the  
74 activities of AOB, NOB, AMX and nitrate reduction by HET. However, in single-stage  
75 nitrification/anammox reactors, heterotrophic consumption of oxygen and nitrite is not negligible.  
76 For one thing, the yield of HET growth with oxygen is higher than with nitrite and nitrate and  
77 therefore, in the presence of all three electron acceptors, HET might prefer oxygen over nitrate  
78 and nitrite. Secondly, especially in the presence of high amounts of biodegradable organic  
79 matter, HET are able to take over a substantial part of the nitrite removal from AMX.<sup>2</sup>

80 The goal of this study is to critically evaluate whether mass balances with commonly  
81 measured compounds (for example ammonium and nitrite), can be used to observe the six main  
82 bacterial activities in a single-stage nitrification/anammox reactor: aerobic ammonium oxidation  
83 by AOB, nitrite oxidation by NOB, anaerobic ammonium oxidation by AMX, heterotrophic  
84 oxygen reduction, heterotrophic nitrite reduction and heterotrophic nitrate reduction. Mass  
85 balances with increasing complexity are analysed starting with catabolic reactions only and  
86 ending with a stoichiometric matrix which accounts for information on both catabolic and  
87 anabolic reactions, microbial kinetic rate functions and a balance for biomass. For all resulting

88 mass balances, both structural and practical observability of the bacterial activities are  
89 evaluated.

90

## 91 **2. MATERIAL AND METHODS**

### 92 **2.1 Definitions**

93 In this paper, we use the following definitions:

94 **Parameters:** Parameters characterise the chemical, physical or biological processes and are  
95 assumed to be constant for a given system. Examples for biological processes are stoichiometric  
96 and kinetic constants such as the yield or the maximum growth rate. The parameters were taken  
97 from literature.

98 **State variables:** In this study, state variables are compounds, which are converted in the  
99 chemical, physical and biological processes. Examples are the ammonia concentration or the  
100 biomass concentration. In theory, state variables can be determined by analytical measurements.

101 **Conversion rates ( $r_{Ci}$ )** describe the conversion of a state variable per time unit. A net  
102 conversion rates describe the overall conversion of a state variable by all bacterial processes.

103 **Bacterial reaction rates ( $r_{Ri}$ )** quantify bacterial reactions ( $R_i$ ) such as catabolic or anabolic  
104 reactions or, in terms of the activated sludge models (ASMs),<sup>19</sup> growth and decay processes.

105 **Bacterial activities:** The activity of a bacterial group is defined as the conversion of a  
106 characteristic substrate by this bacterial group. The characteristic substrate is ammonia in the  
107 case of AMX and AOB, nitrite in case of NOB and COD in case of heterotrophic COD  
108 degradation with oxygen, nitrite and nitrate.

109 **Structural observability:** In a linear equation system, all unknowns are structurally  
110 observable if the number of independent equations is equal to or higher than the number of  
111 unknowns. An equal number means that the equation system is determined; a larger number  
112 means that it is over-determined. Mathematically, the number of unknowns that can be

113 estimated is evaluated by calculating the rank of the balancing matrix  $A$  (see equation 6). This  
114 rank will equal the number of unknowns, if they are all structurally observable.

115 **Practical observability:** To be practically observable, the unknowns have to fulfil two more  
116 conditions besides being structurally observable: first, the set of parameters must allow the  
117 calculation of meaningful values for the unknowns (*e.g.* positive concentrations of biomasses).  
118 In extreme cases, empirically determined parameters do not allow the estimation of all  
119 unknowns, although the unknowns are structurally identifiable. This can occur for example, if  
120 the particular choice for yield parameters causes one balance equation to become a linear  
121 combination of two or more of the remaining balancing equations. Second, the precision of the  
122 calculated values for the estimates must be sufficiently precise to be of practical use.

123

## 124 2.2 Choice of state variables

125 The considered mass balances involve seven compounds: ammonium ( $\text{NH}_4^+$ ), nitrite ( $\text{NO}_2^-$ ),  
126 nitrate ( $\text{NO}_3^-$ ), oxygen ( $\text{O}_2$ ), dissolved organic substances (measured as chemical oxygen  
127 demand, COD), protons ( $\text{H}^+$ ) and total inorganic carbon (TIC). These compounds and their net  
128 conversion rates can be determined on large wastewater treatment plants without highly  
129 sophisticated analytical methods. Most of these compounds and their conversion rates are  
130 directly accessible with measurements:  $\text{NH}_4^+$ ,  $\text{NO}_2^-$ ,  $\text{NO}_3^-$ ,  $\text{O}_2$ , and organic substances. The  $\text{H}^+$   
131 conversion rate and the concentration and conversion rate of TIC can be calculated from  
132 alkalinity measurements, pH values and estimated of the  $\text{CO}_2$  stripping (equation 2). It should  
133 be noted that in more highly concentrated wastewaters (for example digester supernatant or  
134 urine) additional bases such as phosphate species or free ammonia need to be measured and  
135 accounted for. To simplify the mass balance for dissolved biodegradable organic substances, we  
136 assume that all organic substances are degraded by bacteria. We choose acetate ( $\text{C}_2\text{H}_3\text{O}_2^-$ ,  
137 abbreviated as Ac) to represent the organic compounds.

138



139 **2.3 Determining the net conversion rates**

140 We assumed that measurements are taken from an ideally stirred continuous flow reactor with  
141 biomass retention in which all state variables are at their steady state values. For dissolved  
142 compounds, which have no gas phase exchange the net conversion rate can be calculated as

$$143 \quad r_{S_i} = \frac{Q}{V} \cdot (S_i - S_{i,in}) \quad (1)$$

144 where  $Q$  is the flow rate ( $\text{m}^3 \cdot \text{d}^{-1}$ ),  $V$  is the reactor volume ( $\text{m}^3$ ),  $S_i$  is the concentration of the  
145 dissolved compound  $i$  ( $\text{g} \cdot \text{m}^{-3}$ ) in the reactor, and  $S_{i,in}$  is the influent concentration of the  
146 dissolved compound  $i$  ( $\text{g} \cdot \text{m}^{-3}$ ).

147 Volatile compounds, such as  $\text{O}_2$  and  $\text{CO}_2$ , are influenced by gas exchange processes. In this  
148 case, the net conversion rate  $r_{S_i}$  becomes

$$149 \quad r_{S_i} = \frac{Q}{V} \cdot (S_i - S_{i,in}) - r_{i,gas} \quad (2)$$

150 with

$$151 \quad r_{i,gas} = (S_{i,G} - H_i \cdot S_i) \cdot \frac{Q_{air}}{V} \cdot \left( 1 - \exp\left(-\frac{K_L a_i V}{H_i \cdot Q_{air}}\right) \right) \quad (3)$$

152 where  $H_i$  is the Henry coefficient of compound  $i$  ( $\text{g} \cdot \text{m}^{-3}_{\text{gas}} / \text{g} \cdot \text{m}^{-3}_{\text{liquid}}$ ),  $S_{i,G}$  is the  
153 concentration of compound  $i$  in the gas used for aeration ( $\text{g} \cdot \text{m}^{-3}$ ),  $Q_{air}$  is the aeration rate  
154 ( $\text{m}^3 \cdot \text{d}^{-1}$ ) and  $K_L a_i$  is the mass transfer rate constant for compound  $i$  ( $\text{d}^{-1}$ ).

155 Net conversion rates can also be given for particulate compounds such as bacteria and inert  
156 biomass:

$$157 \quad r_{X_j} = \frac{Q}{V} \cdot (X_{j,eff} - X_{j,in}) \quad (4)$$

158 where  $X_{j,in}$  is the influent concentration of bacteria type  $j$  ( $\text{g COD} \cdot \text{m}^{-3}$ ), and  $X_{j,eff}$  is the  
159 concentration of bacteria type  $j$  in the reactor ( $\text{g COD} \cdot \text{m}^{-3}$ ). In this study, we assumed that no  
160 particulate material enters the reactor with the influent, so that  $r_{X_j} = \frac{Q}{V} \cdot X_{j,eff}$  for all bacteria  
161 and inert biomass.

## 162 2.4 Setting up the mass balances

163 In general, mass balance equations for a system with  $n$  compounds and  $m$  relevant bacterial  
164 reactions ( $R$ ) result in an equation system with the following structure:

$$165 \begin{pmatrix} v_{i,j} & \cdots & v_{i,m} \\ \vdots & \ddots & \vdots \\ v_{n,j} & \cdots & v_{n,m} \end{pmatrix} \cdot \begin{pmatrix} r_{Rj} \\ \vdots \\ r_{Rm} \end{pmatrix} = \begin{pmatrix} r_{Ci} \\ \vdots \\ r_{Cn} \end{pmatrix} \quad (5)$$

166 where  $v_{ij}$  is the stoichiometric coefficient of compound  $i$  in the bacterial reaction  $Rj$ ,  $r_{Rj}$  is the  
167 unknown reaction rate of reaction  $Rj$  and  $r_{Ci}$  is the measured net conversion rate of compound  $i$ .  
168 In most cases,  $C_i$  describes a dissolved compound but it can also be used for biomass.

169 Equation 5 can also be written in matrix notation as

$$170 \mathbf{A} \cdot \mathbf{r}_R = \mathbf{r}_C \quad (6)$$

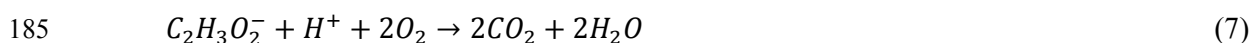
171 where  $\mathbf{A}$  is the matrix of the stoichiometric coefficients as shown in equation 5,  $\mathbf{r}_R$  is the  
172 vector of the biomass reaction rates and  $\mathbf{r}_C$  is the vector of the net conversion rates. Generally,  
173  $\mathbf{A}$  is also called the balancing matrix.

## 175 2.5 Mass balances with catabolic reactions only (equation system 1)

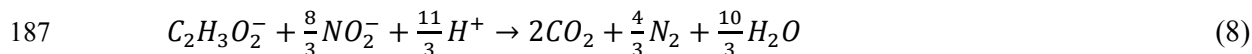
176 In the first equation system, we assumed that biomass growth and biomass decay are in  
177 equilibrium and no inert biomass is produced; this means the net biomass production is zero.  
178 For such a system, the net conversion rates are mainly determined by catabolic bacterial  
179 reactions. With this approach the stoichiometric coefficients are given by the chemical sum  
180 formulae of the catabolic reactions and do not require empirically determined parameters such  
181 as yield or nitrogen content of biomass.

182 The six main catabolic bacterial reactions in a nitrification/anammox reactor with heterotrophic  
183 activity are:

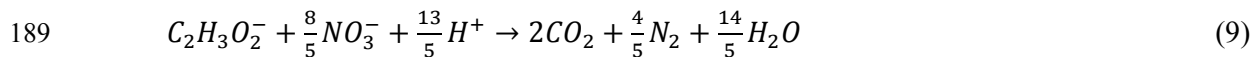
184 Heterotrophic COD degradation with  $O_2$ :



186 Heterotrophic COD degradation with  $NO_2^-$ :



188 Heterotrophic COD degradation with  $NO_3^-$ :



190 Aerobic ammonium oxidation:



192 Aerobic nitrite oxidation:



194 Anaerobic ammonium oxidation:



196 Nine compounds are produced or consumed in these six reactions, but two of the compounds,  
197  $H_2O$  and  $N_2$ , are not considered in the mass balances, because the produced amounts are too  
198 low compared to the background concentration in water and air.

199 An overview of the equation system is given in Table 1, the complete equation system is  
200 given in the Supporting information S1. The unknowns to be calculated are the bacterial  
201 reaction rates  $r_{Rj}$  ( $d^{-1}$ ), which could be later used to calculate bacterial activities by multiplying  
202 the bacterial reaction rates with the respective stoichiometric coefficients for the substrates.

203

## 204 **2.6 Mass balances based on the activated sludge models (equation systems 2 to 4)**

205 According to ASMs, biological metabolism can be represented by growth and decay of  
206 biomass. Both processes are modelled with empirical stoichiometric coefficients. In ASMs,  
207 growth inherently includes catabolic and anabolic reactions, whereas decay can be due to  
208 anabolic reactions, predation and chemical decomposition (*e.g.* hydrolysis). In this study,  
209 biomass decay was simulated as endogenous respiration. Median values of a literature review  
210 were used for the stoichiometric coefficients for growth and decay (Table 2). The biomass

211 composition was assumed to be  $C_5H_7O_2N$  for HET, AOB and NOB, while for AMX, the  
212 biomass composition given by Strous *et al.*<sup>20</sup> was used ( $CH_2O_{0.5}N_{0.15}$ ).

213 Three different equation systems were tested. In equation system 2 (Table 1) only mass  
214 balances for dissolved compounds were considered and the mass balances were set up  
215 according to equation 6: instead of the bacterial reaction rates  $r_{Rj}$ , bacterial activities ( $\alpha_{Rj}$ ) were  
216 used as unknowns

$$217 \quad \mathbf{A} \cdot \mathbf{a}_R = \mathbf{r}_C \quad (13)$$

218 with

$$219 \quad \alpha_{R,j} = r_{R,j} \cdot X_j \quad (14)$$

220 This approach was used because the stoichiometry of ASMs is given per biomass unit. It  
221 should be noted that this approach does not require that  $X_j$  are calculated.

222 In equation systems 3 and 4 (Table 1), balances for the bacterial groups and inert biomass  
223 were also included (see equation 4). Most of the previously published models (for example  
224 Kaelin *et al.*<sup>31</sup>) assumed that one type of HET can use all three electron acceptors ( $O_2$ ,  $NO_2^-$ ,  
225  $NO_3^-$ ). In reality, HET biomass will consist of a mixture of heterotrophic bacteria that can use  
226 one, two or all three electron acceptors.<sup>32</sup> In our study, we compared mass balances with one  
227 type of HET that can use all three electron acceptors (equation system 3) and three types of  
228 HET which specifically use only one of them (equation system 4).

229 An overview of the equations systems is given in Table 1. The complete equation systems are  
230 given in the Supporting information S2.

231

### 232 **2.7 Mass balances based on the activated sludge model including kinetics (equation** 233 **system 5 and 6)**

234 In equation system 5 and 6 (Table 1) the bacterial reaction rates are described with more  
235 detailed kinetic expressions

$$236 \quad r_{Ri} = \rho_j \cdot X_j \quad (15)$$

237 where  $\rho_j$  is the process rate, which is the product of saturation and inhibition terms, and the  
 238 maximum growth rate  $\mu_{max,j}$  ( $d^{-1}$ ) or the maximum endogenous respiration rate  $b_{max,i}$  ( $d^{-1}$ ).  
 239 When kinetic constants are included, growth and endogenous respiration of each type of  
 240 bacteria can be combined in one equation and merged with the stoichiometric coefficients. In  
 241 this equation system, biomass concentrations instead of bacterial rates are the unknowns:

$$242 \quad \mathbf{A} \cdot \mathbf{X} = \mathbf{r}_c \quad (16)$$

243 with the balancing matrix  $\mathbf{A}$

$$244 \quad \mathbf{A} = \begin{pmatrix} v_{i,j} \cdot \rho_j & \cdots & v_{i,m} \cdot \rho_m \\ \vdots & \ddots & \vdots \\ v_{n,j} \cdot \rho_j & \cdots & v_{n,m} \cdot \rho_m \end{pmatrix} \quad (17)$$

245 and the vector of the biomass concentrations

$$246 \quad \mathbf{X} = \begin{pmatrix} X_j \\ \vdots \\ X_m \end{pmatrix} \quad (18)$$

247 The bacterial reaction rates can subsequently be calculated according to equation 15. The  
 248 relevant process rates for a nitrification/anammox reactor with heterotrophic activity are listed in  
 249 Table 3. Whenever possible we used median values based on a literature review (Table 4).  
 250 While the affinity and inhibition constants of AOB, NOB and HET have been documented in  
 251 several studies, the number of values is sparse for the saturation coefficient of AMX for  
 252 ammonium ( $K_{NH_4,AMX}$ ) and nitrite ( $K_{NO_2,AMX}$ ) and the inhibition coefficient of AMX for oxygen  
 253 ( $K_{I,O_2,AMX}$ ). Strous *et al.*<sup>42</sup> reported that both, the affinity constants for the substrates ammonium  
 254 and nitrite, lower than  $0.1 \text{ mg N} \cdot \text{L}^{-1}$ . We assumed that  $K_{NH_4,AMX}$  and  $K_{NO_2,AMX}$  were  $0.1 \text{ mg}$   
 255  $\text{N} \cdot \text{L}^{-1}$  each. Strous *et al.*<sup>43</sup> reported that AMX were completely inhibited at 0.5% air saturation,  
 256 which equals  $0.036 \text{ mg O}_2 \cdot \text{L}^{-1}$  at  $30^\circ\text{C}$ . In this study, we assumed that  $K_{I,O_2,AMX}$ , which  
 257 corresponds to the oxygen concentration at 50%, was  $0.1 \text{ mg O}_2 \cdot \text{L}^{-1}$ . For the concentrations of

258 O<sub>2</sub>, NO<sub>2</sub><sup>-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and Ac we used simulated reference values (Section 2.5, Table 5). The  
259 complete equation systems are given in the Supporting information S3.

260

## 261 **2.8 Mass balances based on the activated sludge model including kinetics and a biomass** 262 **balance (equation system 7)**

263 Equation system 5 can be extended with a biomass balance according to

$$264 \quad \sum_j^m (\rho_{j,growth} + (1 - f_{XI}) \cdot \rho_{j,end\ resp}) \cdot X_j = r_{X_{tot}} \quad (19)$$

265  $\rho_{j,growth}$  (d<sup>-1</sup>) is the process rate for bacterial growth and  $\rho_{j,end\ resp}$  (d<sup>-1</sup>) is the process rate for  
266 endogenous respiration. The kinetic expressions for  $\rho_{j,growth}$  and  $\rho_{j,end\ resp}$  are given in Table 4.  $f_{XI}$   
267 (-) is the fraction of biomass converted into inert biomass X<sub>I</sub> (Table 2).  $r_{X_{tot}}$  (gCOD·m<sup>-3</sup>·d<sup>-1</sup>) is  
268 the conversion rate for the total biomass. The term (1- $f_{XI}$ ) ensures that the production of inert  
269 biomass is included in the total production of biomass. An overview of equation system 7 is  
270 given in Table 1. The complete mass balances are given in the Supporting information S4.

271

## 272 **2.9 Reference data for conversion rates**

273 Computer simulations with the software Aquasim<sup>44</sup> were used to obtain reference data for  
274 solving the mass balances (equation systems 5 to 7). Measurements from a single-stage  
275 sequencing batch reactor with five-times diluted urine (influent COD/N ratio 1.27 g COD·g N<sup>-1</sup>)<sup>45</sup>  
276 were used as influent concentrations and as initial conditions (Supporting information S5).  
277 All compounds included in the model are listed in the Supporting information S6. The  
278 stoichiometric constants and the kinetic constants were the same as given in Table 2 and Table  
279 4. Three groups of HET were introduced to represent the heterotrophic activity with oxygen,  
280 nitrite and nitrate as electron acceptors. In case of equation system 6, the initial biomass  
281 concentrations of the three groups of HET were set to zero and all heterotrophic processes were  
282 inactivated. The simulation of pH equilibria and aeration effects is described in the Supporting

283 information S7. Long-term simulations with constant influent rates were used to approximate  
284 concentrations at steady state. The simulated net conversion rates and the biomass  
285 concentrations at steady state are listed in Table 5.

286

## 287 **2.10 Evaluating structural observability**

288 To solve a linear equation system we need at least as many independent equations (mass  
289 balances) as unknown variables (bacterial reaction rates, bacterial activities or biomass  
290 concentrations). Independent means that none of the equations can be linearly combined and  
291 transformed to another of the available equations. Practically, the classification of the  
292 considered equation systems and observability of the unknowns is based on the evaluation of  
293 the rank of the linear equation system: the rank must be equal to the number of unknowns  
294 otherwise the linear equation system is under-determined.

295 When empirically determined stoichiometric parameters are used, some equations might be  
296 dependent due to a particular choice of parameter values and not due to an under-determination  
297 of the equation system for all feasible parameter values. In this case, the lack of observability  
298 would not be structural but only practical. To test whether the lack of observability is not only  
299 practical but also structural, we performed Monte Carlo simulations: 10,000 simulations were  
300 done with uniformly and randomly distributed parameter values in a range of  $\pm 50\%$  of the  
301 default values (median values from literature according to Table 2 and Table 4). If this test does  
302 not provide any parameter sets, which make the equation system observable, it is very likely  
303 that the lack of observability is structural. A stringent test of the structural observability would  
304 be considerably more complex<sup>46</sup> and was considered to be unnecessary to obtain conclusive  
305 results. All computations were executed by means of MATLAB (R2013b, The MathWorks Inc.,  
306 Natick MA, USA). The Matlab codes are given in the Supporting information S8 and S9.

307

308

309 **2.11 Evaluating practical observability**

310 If the test for structural observability was successful, we tested the practical observability by  
 311 estimating the theoretical standard deviations of the estimates. To this end, we assumed that the  
 312 net conversion rates  $r_{Ci}$  have a standard deviation of 5% of their steady-state value. In practice  
 313 these standard deviations are due to measurement errors and can be higher than 5% so that the  
 314 computed standard deviations are rather optimistic (*i.e.*, low). In real systems, the variation is  
 315 probably even larger due to imprecise parameter values. Furthermore, parameter values and  
 316 analytical measurements of variables can be systematically wrong, leading to systematic  
 317 estimation errors (bias). Assuming that measurement errors for the rate measurements,  $r_{Ci}$ , are  
 318 drawn independently from a normal distribution with zero mean and a given standard deviation  
 319 ( $\sigma_{r_{Ci}}$ ), one can compute the expected value for the bacterial concentrations  $X_j$  in equation  
 320 systems 5 to 7 (Table 1) as follows:<sup>47</sup>

$$321 \quad \mathbf{A} \cdot \mathbf{X} = \mathbf{r}_C \quad (20)$$

$$322 \quad \mathbf{X} = (\mathbf{A}^T \cdot \Sigma_{r_C}^{-1} \cdot \mathbf{A})^{-1} \cdot \mathbf{A}^T \cdot \Sigma_{r_C}^{-1} \cdot \mathbf{r}_C = \mathbf{P} \cdot \mathbf{r}_C \quad (21)$$

$$323 \quad \forall k, l : k = l \Rightarrow \Sigma_{r_C}(k, l) = \sigma_{r_C}^2 \quad (22)$$

$$324 \quad \forall k, l : k \neq l \Rightarrow \Sigma_{r_C}(k, l) = 0 \quad (23)$$

325 The variance-covariance matrix for the rate estimates is then:

$$326 \quad \Sigma_{X_j} = \mathbf{P} \cdot \Sigma_{r_C} \cdot \mathbf{P}^T \quad (24)$$

327 With the individual standard deviations for the rate estimates computed from the variances on  
 328 its diagonal, the standard deviation of the biomass estimate becomes

$$329 \quad \sigma_{X_j} = \left( \Sigma_{X_j}(j, j) \right)^{1/2} \quad (25)$$

330 In practice, the standard deviation of the net conversion rates can be reduced by means of  
 331 independent repetitions of the measurements under the same experimental conditions. The



332 standard deviation of the average of  $r$  independent measurements can be estimated by dividing  
333 the standard deviation of a single measurement by the square root of the total number of  
334 measurements  $r$ :

$$335 \quad \sigma_{X_j,r} = \sigma_{X_j,r}/r^{1/2} \quad (26)$$

336

### 337 **3 RESULTS**

338 The setup of the mass balances and the main results are summarised in Table 1. The results  
339 will be explained in more detail in the following paragraphs.

340

#### 341 **3.1 Mass balances based on catabolic reactions only**

342 Catabolic reactions alone do not allow determining the activities of the different bacterial  
343 groups. The linear system consists of seven equations but the rank of the matrix is only four  
344 (Table 1, system 1), which means that three out of the seven equations can be expressed as  
345 linear combinations of four independent equations. The lack of observability is thus structural  
346 in the sense that it is impossible to conceive of any experiment, even idealised, that permits  
347 simultaneous estimation of all reaction rates. In contrast to ASMs reactions (see section 3.2),  
348 the stoichiometric coefficients are known constants that follow directly from the definition of  
349 the considered chemical reactions. As such, this result is universal in the sense that it does not  
350 depend on any adjustable model parameter.

351 Even if the linear equation system for the catabolic reactions were solvable, the resulting  
352 bacterial reaction rates would most probably not be accurate due to some coarse simplifications.  
353 The basic assumption that biomass growth and decay are in equilibrium is practically never the  
354 case in a wastewater treatment plant. Biomass losses via the effluent or biomass withdrawal  
355 cannot be avoided. Furthermore, the catabolic reaction for AMX does not consider an important  
356 contribution of AMX to nitrate production: in order to generate the required energy for carbon

357 fixation, AMX oxidise nitrite to nitrate, accounting for 11% of the total N conversion by  
358 AMX.<sup>20</sup>

359

### 360 **3.2 Mass balances based on the activated sludge model**

361 When considering microbial metabolism according to ASMs the number of unknowns  
362 increases to twelve: for each of the six bacterial groups, two bacterial rates - growth and  
363 endogenous respiration - are included. In the equation system there are now more unknowns  
364 (twelve) than mass balances (seven) which means no unique solution exists under any scenario  
365 (Table 1, system 2). Concentrations for NOB, AOB, AMX, HET and for inert biomass can be  
366 included to provide additional measurements and associated balancing equations. Despite the  
367 inclusion of such measurements which are hard to obtain in practice, it remains impossible to  
368 determine the microbial activities (Table 1, system 3). This is also the case when the HET are  
369 divided in three subgroups, which use oxygen, nitrite or nitrate as electron acceptors (Table 1,  
370 system 4). The same classification was obtained for 10'000 random sets for the parameter  
371 values. This suggests that the lack of observability is most probably structural and not due to a  
372 particular combination of estimated parameters.

373 Even if it were possible to compute values for the considered respiration rates, it is worth  
374 considering that empirical stoichiometric coefficients have to be included in the mass balances  
375 (Table 2). These are considered to be known exactly although such parameters are estimated in  
376 practice. This means that the balancing equations as described here are subject to additional  
377 unaccounted uncertainty in practice.

378

### 379 **3.3 Mass balances based on the activated sludge model including kinetics**

380 When the kinetics are known, one can combine the growth and endogenous respiration for  
381 each type of bacteria into a single net growth rate. This reduces the number of unknowns from  
382 twelve to six and allows determining the biomass concentrations and thereby the bacterial

383 activities (Table 1, system 5). In this case, and for the first time in this study, the balancing  
384 matrix A is full-rank. This means that the concentrations of AOB, NOB, AMX and the  
385 hypothetical concentrations of the three groups of HET can be estimated (structural  
386 observability). The activities for growth and endogenous respiration can later be calculated with  
387 the assumed kinetic expressions.

388 Although the biomass concentrations can be determined, the uncertainty of the resulting  
389 values is immense when using a single set of rate measurements (Table 6). Assuming that all  
390 necessary stoichiometric and kinetic parameters (Table 2 and Table 4) are known exactly, the  
391 relative standard deviations of the bacterial activities equal the relative standard deviations of  
392 the calculated biomass concentrations and only depend on the measurement accuracy of the net  
393 conversion rates. Even if a low standard deviation of 5% is assumed for the conversion rate  
394 measurements, the resulting standard deviations for the biomass concentrations are extremely  
395 high: the relative standard deviation for AMX is 5280% (Table 6).

396 The most likely explanation for the high uncertainties of the calculated biomass  
397 concentrations is that the mass balances are close to linearly dependent. Removing the  
398 heterotrophic activities from the equation system in particular is expected to improve the  
399 estimation precision. Nitrogen can then only be removed via nitrification/anammox and not also  
400 by a second parallel reactions scheme (nitrification/denitrification), which strongly reduces the  
401 number of estimated unknowns. We demonstrate that this is indeed the case by applying the  
402 same mass balances for a completely autotrophic system (Table 1, system 6). With a relative  
403 standard deviation of 5% for the net conversion rates, the resulting relative standard deviations  
404 for the calculated concentrations of AOB, NOB and AMX are now below 10% (Table 6).

405 The high relative standard deviations of the calculated biomass concentrations can also be  
406 reduced by including a mass balance for the biomass (Table 1, equation system 7) to the  
407 original equations system (including HET activity). In our example, the relative standard  
408 deviation becomes 1210%. However, this standard deviation is still unrealistically high for

409 practical purposes. The standard deviations of the net conversion rates can be reduced though  
410 with multiple measurements. As an example, the required number of measurements and the  
411 corresponding standard deviation of the net conversion rate to reach a certain standard deviation  
412 for the calculated biomass concentration of AMX are listed in Table 7. To achieve a relative  
413 standard deviation of 50% for the AMX concentration, about 600 measurements of the net  
414 conversion rates would be necessary under the same experimental conditions. This number is  
415 however still too high for measurements based on conventional grab sampling. Therefore, we  
416 conclude that neither AMX activity nor any other of the considered bacterial activities is  
417 practically observable with mass balances and conventional grab sampling.

418

## 419 **4 DISCUSSION**

### 420 **4.1 Constraints are necessary for the structural observability of the linear equation** 421 **system**

422 By including constraints, *i.e.*, kinetic expressions, we achieved complete structural  
423 observability of all unknown parameters (Table 1, systems 5 and 6). This approach is similar to  
424 the flux balance analysis, which is a common method to analyse the metabolic networks of  
425 single microorganisms.<sup>48</sup> To overcome the lack of detailed information about the metabolism of  
426 a bacterial cell, the metabolic network is represented by a stoichiometric matrix describing the  
427 relation of conversion rates and metabolic reactions at steady state. The resulting equation  
428 system<sup>49</sup> has essentially the same form as our equation systems and it is usually not observable  
429 because the number of unknown reactions is larger than the number of compounds.<sup>50</sup>  
430 Constraints are introduced, *e.g.*, measured fluxes or boundaries for certain rates, to allow  
431 quantitative predictions. Concentrations, *e.g.*, of metabolites, cannot be predicted, because  
432 accurate kinetic parameters are usually not available.<sup>50</sup> Here is the difference to our approach:  
433 our systems do not consist of single cells but of bacterial populations, for which macroscopic

434 kinetic data are available. By including the kinetic data (systems 5 and 6 in Table 1), all  
435 unknown variables, *i.e.* bacterial concentrations, are observable.

436 Including kinetics makes the linear equation system structurally observable but also affects  
437 the accuracy of the final result. Systematic errors can be included in the mass balance. As the  
438 data compilation in Table 4 shows, literature values for kinetic parameters vary widely and the  
439 chosen kinetic expressions might not include critical influences such as inhibition of AMX or  
440 AOB. However, unexpected inhibition effects by unknown compounds are a frequent problem  
441 in wastewater treatment plants.<sup>51</sup>

442 It would be desirable to achieve observability of all reaction rates by increasing the number of  
443 independent mass balance equations and not using kinetics. In theory, at least two additional  
444 mass balances could be included in our systems: one for H<sub>2</sub>O and one for N<sub>2</sub>. Unfortunately, no  
445 conversion rates for the two compounds can be measured, because their background  
446 concentrations are far too high. Furthermore, the two additional equations are not independent  
447 from the others (data not shown), so that the rank of the previous mass balances does not  
448 increase. Another option would be to include side-products such as nitrous oxide (N<sub>2</sub>O) or nitric  
449 oxide (NO). Both compounds can be measured in the off-gas<sup>52</sup> or with sensors directly in the  
450 water.<sup>53</sup> The isotopic signatures of N<sub>2</sub>O even allow the differentiation of the production  
451 pathway.<sup>54</sup> However, both compounds are side-products, which only occur under certain  
452 circumstances. Additional reaction rates would have to be included to balance those  
453 compounds, so that structural observability of the bacterial activities would still not be achieved  
454 without introducing kinetics. Based on these considerations, including constraints (*e.g.* as  
455 kinetic expressions) seems to be the only way to achieve structural observability of the bacterial  
456 activities with the linear equation systems in our study.

457

458

459

#### 460 **4.2 Additional mass balances could be used to achieve practical observability**

461 Our study shows that not only increasing the number of measurements but also increasing the  
462 number of equations can improve the precision of the biomass concentration estimates. Adding  
463 one more equation (biomass balance) to equation 5 led to a substantial reduction of the  
464 variability of the estimated biomass concentrations in equation 6. This is due to the fact that  
465 some conversion rates are redundant and could also be calculated by combining other mass  
466 balances. In other words, some conversion rates are balanceable.<sup>55</sup> In practical systems, such  
467 redundancy can be used to detect and remove systematic balancing errors (gross error  
468 detection) and to reduce random measurement errors in measured data. The latter is also known  
469 as data reconciliation.<sup>56</sup>

470 Data reconciliation is a common procedure for industrial processes. It has been proposed for  
471 wastewater treatment as well,<sup>57</sup> but is not commonly applied. This is partly due the fact that  
472 municipal wastewater treatment plants usually do not comply with certain requirements of the  
473 most basic data reconciliation methods: most of the processes are not at steady state, the  
474 variances of process variables are not known and some measurements often have gross errors.<sup>58</sup>  
475 Recently, methods have been adapted for wastewater treatment and now allow the identification  
476 of periods with gross measurement errors, *e.g.* based on the CUSUM statistic for linear mass  
477 balancing errors<sup>59</sup> or based on bilinear mass balancing equations.<sup>60</sup> Furthermore, the ability to  
478 detect measurement errors via mass balancing can be ensured by optimising the location of  
479 sampling.<sup>61</sup> Long periods at quasi steady state conditions and without gross errors can provide a  
480 sufficiently high number of measurements to allow the calculation of precise biomass  
481 concentrations (Table 7). The result would be an average biomass concentration during an  
482 extended period of time.

483 Our study showed that not only increasing the number of measurements but also increasing  
484 the number of equations while retaining the same number of unknowns can improve the

485 precision of the biomass concentrations. Adding one more equation (biomass balance) led to a  
486 substantial reduction of the variability of the final result.

487

#### 488 **4.3 Observing dynamics and separating bacterial processes can provide additional** 489 **information**

490 The approach used in this study is based on two basic requirements for reactor operation:  
491 first, the soluble and particulate compounds are in steady state, and second, all processes occur  
492 concomitantly in one single reactor. However, reactors with higher dynamics, such as  
493 sequencing batch reactors, and separating the aerobic and the anoxic process steps in two  
494 different reactors, are likely suited better to determine the bacterial activities on a regular basis.  
495 Short-term changes from single-stage operation to a cyclic multi-stage operation could also be  
496 used as an online experimental design method to obtain observability at regular time intervals.

497 Dynamic measurements allow for more information about the processes, but a prerequisite  
498 for practical applications is the use of sensors. If online measurements are available, not only  
499 the actual concentrations but also mathematical derivatives such as the oxygen consumption or  
500 the change of the oxygen consumption can be used to determine unknown activities.<sup>62</sup> Oxygen  
501 and ammonia sensors have been applied successfully for online observation of AMX activity in  
502 large scale wastewater treatment plants.<sup>9</sup> Nitrite sensors would further simplify the observation  
503 of AMX activity, but reliable nitrite sensors, especially for high nitrite concentrations, still have  
504 to be developed.<sup>1,63</sup>

505 By operating the nitrification/anammox process in two reactors<sup>64</sup> or during two phases in the  
506 same reactor<sup>1</sup>, aerobic processes such as the activities of AOB, NOB and aerobic HET could be  
507 separated from anoxic activities such as AMX and heterotrophic denitrification. While such an  
508 approach would greatly simplify the quantification of AMX activity, operational problems such  
509 as N<sub>2</sub>O production,<sup>65</sup> high NOB activity<sup>66</sup> or inhibition of AMX can occur due to nitrite  
510 overloading.<sup>9</sup> For such reasons, most full scale nitrification/anammox reactors are operated as

511 single-stage systems,<sup>51</sup> although two-stage systems would easily render the AMX activity  
512 observable. However, in single-stage systems short phases with alternating aeration could be  
513 introduced to determine the AMX activity.

514

## 515 **5 CONCLUSIONS**

- 516 • In theory mass balances can be used to determine the AMX activity in a  
517 nitrification/anammox reactor with heterotrophic activity, but the requirements are  
518 challenging. Based on our study, three necessary conditions are:
  - 519 - Accurate values for the stoichiometric and the kinetic parameters are available for all  
520 considered reactions.
  - 521 - The process can be assumed to operate in steady state.
  - 522 - A large number of reliable measurements are available for flow rates, COD, nitrite,  
523 nitrate, ammonium, alkalinity, TIC, pH and oxygen.
- 524 • To achieve a satisfying precision for the estimated AMX activity, an immense number  
525 of independent measurements are required. In our example, the conversion rates would  
526 need to have standard deviation as low as 0.2% to achieve a precision of 50% for the  
527 AMX concentration. This high precision for the conversion rates is practically  
528 unachievable with grab samples and laboratory measurements. In the future, high-  
529 frequency measurements with sensors and data reconciliation methods could allow for  
530 such a high precision of conversion rates.

531

## 532 **ACKNOWLEDGEMENTS**

533 This work was financed by the Swiss National Science Foundation under grant  
534 200020\_144498 (Decentralised urine treatment with the nitrification/anammox process, DUNOX,  
535 Part 2).

536



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- 649

650 **Table 1** Overview of the tested mass balances.

System number	System description	Number of mass balances	Number of unknowns	Rank	Rel. std. dev. of AMX conc.
1	Catabolic reactions	7 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , Ac, H, O <sub>2</sub> , TIC	6 $\Gamma_{\text{HET},\text{O}_2}$ , $\Gamma_{\text{HET},\text{NO}_2}$ , $\Gamma_{\text{HET},\text{NO}_3}$ , $\Gamma_{\text{AOB}}$ , $\Gamma_{\text{NOB}}$ , $\Gamma_{\text{AMX}}$	4	-
2	Catabolic and anabolic reactions, no kinetics, no bacterial conc.	7 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , Ac, H, O <sub>2</sub> , TIC	12 $\alpha_{\text{HET},\text{O}_2,\text{growth}}$ , $\alpha_{\text{HET},\text{O}_2,\text{end.resp}}$ , $\alpha_{\text{HET},\text{NO}_2,\text{growth}}$ , $\alpha_{\text{HET},\text{NO}_2,\text{end.resp}}$ , $\alpha_{\text{HET},\text{NO}_3,\text{growth}}$ , $\alpha_{\text{HET},\text{NO}_3,\text{end.resp}}$ , $\alpha_{\text{AOB},\text{growth}}$ , $\alpha_{\text{AOB},\text{end.resp}}$ , $\alpha_{\text{NOB},\text{growth}}$ , $\alpha_{\text{NOB},\text{end.resp}}$ , $\alpha_{\text{AMX},\text{growth}}$ , $\alpha_{\text{AMX},\text{end.resp}}$	6	-
3	Catabolic and anabolic reactions, no kinetics, 1 type of HET	12 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , Ac, H, O <sub>2</sub> , TIC, X <sub>I</sub> , X <sub>HET</sub> , X <sub>AOB</sub> , X <sub>NOB</sub> , X <sub>AMX</sub>	12 $\alpha_{\text{HET},\text{O}_2,\text{growth}}$ , $\alpha_{\text{HET},\text{O}_2,\text{end.resp}}$ , $\alpha_{\text{HET},\text{NO}_2,\text{growth}}$ , $\alpha_{\text{HET},\text{NO}_2,\text{end.resp}}$ , $\alpha_{\text{HET},\text{NO}_3,\text{growth}}$ , $\alpha_{\text{HET},\text{NO}_3,\text{end.resp}}$ , $\alpha_{\text{AOB},\text{growth}}$ , $\alpha_{\text{AOB},\text{end.resp}}$ , $\alpha_{\text{NOB},\text{growth}}$ , $\alpha_{\text{NOB},\text{end.resp}}$ , $\alpha_{\text{AMX},\text{growth}}$ , $\alpha_{\text{AMX},\text{end.resp}}$	9	-
4	Catabolic and anabolic reactions, no kinetics, 3 types of HET	14 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , Ac, H, O <sub>2</sub> , TIC, X <sub>I</sub> , X <sub>HET,O2</sub> , X <sub>HET,NO2</sub> , X <sub>HET,NO3</sub> , X <sub>AOB</sub> , X <sub>NOB</sub> , X <sub>AMX</sub>	12 $\alpha_{\text{HET},\text{O}_2,\text{growth}}$ , $\alpha_{\text{HET},\text{O}_2,\text{end.resp}}$ , $\alpha_{\text{HET},\text{NO}_2,\text{growth}}$ , $\alpha_{\text{HET},\text{NO}_2,\text{end.resp}}$ , $\alpha_{\text{HET},\text{NO}_3,\text{growth}}$ , $\alpha_{\text{HET},\text{NO}_3,\text{end.resp}}$ , $\alpha_{\text{AOB},\text{growth}}$ , $\alpha_{\text{AOB},\text{end.resp}}$ , $\alpha_{\text{NOB},\text{growth}}$ , $\alpha_{\text{NOB},\text{end.resp}}$ , $\alpha_{\text{AMX},\text{growth}}$ , $\alpha_{\text{AMX},\text{end.resp}}$	11	-
5	Catabolic and anabolic reactions, with kinetics, 3 types of HET	7 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , Ac, H, O <sub>2</sub> , TIC	6 X <sub>HET,O2</sub> , X <sub>HET,NO2</sub> , X <sub>HET,NO3</sub> , X <sub>AOB</sub> , X <sub>NOB</sub> , X <sub>AMX</sub>	6	5280%
6	Catabolic and anabolic reactions, with kinetics, without heterotrophic activity	6 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , H, O <sub>2</sub> , TIC	3 X <sub>AOB</sub> , X <sub>NOB</sub> , X <sub>AMX</sub>	3	5%
7	Catabolic and anabolic reactions, with kinetics and sludge loss, 3 types of HET	8 NH <sub>4</sub> , NO <sub>2</sub> , NO <sub>3</sub> , Ac, H, O <sub>2</sub> , TIC, X <sub>tot</sub>	6 X <sub>HET,O2</sub> , X <sub>HET,NO2</sub> , X <sub>HET,NO3</sub> , X <sub>AOB</sub> , X <sub>NOB</sub> , X <sub>AMX</sub>	6	1210%

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652 **Table 2** Median, minimum and maximum values for stoichiometric parameters of the  
 653 combined catabolic and anabolic reaction equations. The values are compiled from Alpkvist *et*  
 654 *al.*,<sup>21</sup> Fang *et al.*,<sup>22</sup> Gujer *et al.*,<sup>23</sup> Henze *et al.*,<sup>19</sup> Kampschreur *et al.*,<sup>24</sup> Koch *et al.*,<sup>25</sup> Koch *et*  
 655 *al.*,<sup>26</sup> Moussa *et al.*,<sup>27</sup> Strous *et al.*,<sup>20</sup> Vangsgaard *et al.*,<sup>28</sup> Wiesmann<sup>29</sup> and Wyffels *et al.*<sup>30</sup>

Symbol	Parameter	Median	Min	Max	Unit
$Y_{\text{HET},\text{O}_2}$	Yield for growth of $X_{\text{HET}}$ with oxygen	0.630 <sup>19,23</sup>	0.609 <sup>29</sup>	0.800 <sup>26</sup>	$\text{g COD}\cdot\text{g COD}^{-1}$
$Y_{\text{HET},\text{NO}_2}$	Yield for growth of $X_{\text{HET}}$ with nitrite	0.540 <sup>19,23</sup>	0.540 <sup>19,23</sup>	0.650 <sup>26</sup>	$\text{g COD}\cdot\text{g COD}^{-1}$
$Y_{\text{HET},\text{NO}_3}$	Yield for growth of $X_{\text{HET}}$ with nitrate	0.540 <sup>19,23</sup>	0.540 <sup>19,23</sup>	0.650 <sup>26</sup>	$\text{g COD}\cdot\text{g COD}^{-1}$
$Y_{\text{AOB}}$	Yield for growth of $X_{\text{AOB}}$	0.210 <sup>22,25</sup>	0.150 <sup>21</sup>	0.292 <sup>28</sup>	$\text{g COD}\cdot\text{g N}^{-1}$
$Y_{\text{NOB}}$	Yield for growth of $X_{\text{NOB}}$	0.046 <sup>21,22</sup>	0.030 <sup>25</sup>	0.059 <sup>29</sup>	$\text{g COD}\cdot\text{g N}^{-1}$
$Y_{\text{AMX}}$	Yield for growth of $X_{\text{AMX}}$	0.150 <sup>25</sup>	0.124 <sup>28</sup>	0.159 <sup>20</sup>	$\text{g COD}\cdot\text{g N}^{-1}$
$i_{\text{N},\text{X}_1}$	Nitrogen content of inorganic biomass $X_1$	0.04 <sup>26</sup>	0.02 <sup>19</sup>	0.06 <sup>19</sup>	$\text{g N}\cdot\text{g COD}^{-1}$
$f_{\text{X}_1}$	Fraction of biomass converted into $X_1$ during endogenous respiration	0.18 <sup>24,25, 26,27</sup>	0.08 <sup>19</sup>	0.20 <sup>25, 26</sup>	-

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658 **Table 3** Process rates  $\rho_j$  for a nitrification/anammox system with high HET activity based on  
 659 Kaelin *et al.*<sup>31</sup> in case of AOB, NOB and HET, and Lackner *et al.*<sup>33</sup> in case of AMX. The  
 660 parameter values are given in Table 4 and the reference concentrations in Table 5.

	Process	Process rate
HET,O <sub>2</sub>	Growth	$\mu_{max,HET} \cdot \frac{S_{O_2}}{S_{O_2} + K_{O_2,HET}} \cdot \frac{S_{Ac}}{S_{Ac} + K_{Ac,HET}}$
	Endogenous respiration	$b_{max,HET} \cdot \frac{S_{O_2}}{S_{O_2} + K_{O_2,HET}}$
HET,NO <sub>2</sub>	Growth	$\mu_{max,HET} \cdot \eta_{NOX} \cdot \frac{K_{I,O_2,HET}}{K_{I,O_2,HET} + S_{O_2}} \cdot \frac{S_{NO_2}}{S_{NO_2} + K_{NO_2,HET}} \cdot \frac{S_{Ac}}{S_{Ac} + K_{Ac,HET}}$
	Endogenous respiration	$b_{max,HET} \cdot \eta_{NOX} \cdot \frac{K_{I,O_2,HET}}{K_{I,O_2,HET} + S_{O_2}} \cdot \frac{S_{NO_2}}{S_{NO_2} + K_{NO_2,HET}}$
HET,NO <sub>3</sub>	Growth	$\mu_{max,HET} \cdot \eta_{NO_3} \cdot \frac{K_{I,O_2,HET}}{K_{I,O_2,HET} + S_{O_2}} \cdot \frac{S_{NO_3}}{S_{NO_3} + K_{NO_3,HET}} \cdot \frac{S_{Ac}}{S_{Ac} + K_{Ac,HET}}$
	Endogenous respiration	$b_{max,HET} \cdot \eta_{NOX} \cdot \frac{K_{I,O_2,HET}}{K_{I,O_2,HET} + S_{O_2}} \cdot \frac{S_{NO_3}}{S_{NO_3} + K_{NO_3,HET}}$
AOB	Growth	$\mu_{max,AOB} \cdot \frac{S_{O_2}}{S_{O_2} + K_{O_2,AOB}} \cdot \frac{S_{NH_4}}{S_{NH_4} + K_{NH_4,AOB}}$
	Endogenous respiration	$b_{max,AOB} \cdot \frac{S_{O_2}}{S_{O_2} + K_{O_2,AOB}}$
NOB	Growth	$\mu_{max,NOB} \cdot \frac{S_{O_2}}{S_{O_2} + K_{O_2,NOB}} \cdot \frac{S_{NO_2}}{S_{NO_2} + K_{NO_2,NOB}}$
	Endogenous respiration	$b_{max,NOB} \cdot \frac{S_{O_2}}{S_{O_2} + K_{O_2,NOB}}$
AMX	Growth	$\mu_{max,AMX} \cdot \frac{K_{O_2,AMX}}{K_{O_2,AMX} + S_{O_2}} \cdot \frac{S_{NH_4}}{S_{NH_4} + K_{NH_4,AMX}} \cdot \frac{S_{NO_2}}{S_{NO_2} + K_{NO_2,AMX}}$
	Endogenous respiration	$b_{max,AMX} \cdot \frac{S_{NO_2}}{S_{NO_2} + K_{NO_2,AMX}}$

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663 **Table 4** Median, minimum and maximum values of the parameters that are required for the  
 664 calculation of the relevant process rates in a nitrification/anammox system with high HET activity  
 665 (Table 2) at 20°C according Dapena-Mora *et al.*,<sup>34</sup> Guisasola *et al.*,<sup>35</sup> Gujer *et al.*,<sup>23</sup> Hao *et al.*,<sup>36</sup>  
 666 Henze *et al.*,<sup>19</sup> Hunik *et al.*,<sup>37</sup> Jayamohan *et al.*,<sup>38</sup> Kaelin *et al.*,<sup>31</sup> Kampschreur *et al.*,<sup>24</sup> Koch *et*  
 667 *al.*,<sup>25</sup> Koch *et al.*,<sup>26</sup> Manser *et al.*,<sup>39</sup> Moussa *et al.*,<sup>27</sup> Sánchez *et al.*,<sup>40</sup> Vangsgaard *et al.*,<sup>28</sup> Wett  
 668 and Rauch,<sup>41</sup> Wiesmann<sup>29</sup> and Wyffels *et al.*<sup>30</sup> Temperature dependency was considered as  
 669 follows:  $\mu(20^\circ\text{C}) = \mu(T) \cdot \exp(\Theta_T \cdot (20-T))$ . The absolute values of the maximum endogenous  
 670 respiration rates were assumed to be 10% of the maximum growth rates.

Symbol	Parameter	Median	Min	Max	Unit
$\mu_{\text{max,HET}}$	Maximum growth rate of $X_{\text{HET}}$	3.0 <sup>26</sup>	2.0 <sup>19,23</sup>	7.2 <sup>29</sup>	d <sup>-1</sup>
$b_{\text{max,HET}}$	Max. endogenous respiration rate of $X_{\text{HET}}$	-0.3			d <sup>-1</sup>
$\Theta_{\text{T,HET}}$	Temperature dependency of $X_{\text{HET}}$ rates	0.07 <sup>19,26</sup>			°C <sup>-1</sup>
$\eta_{\text{NOX}}$	Anoxic reduction factor for nitrite and nitrate	0.7 <sup>25</sup>	0.6 <sup>23</sup>	0.8 <sup>19</sup>	-
$K_{\text{Ac,HET}}$	Saturation coefficient of $X_{\text{HET}}$ for $S_{\text{Ac}}$	4.0 <sup>19</sup>	2.0 <sup>19,23</sup>	20 <sup>19</sup>	g COD·m <sup>-3</sup>
$K_{\text{O}_2,\text{HET}}$	Saturation coefficient of $X_{\text{HET}}$ for $\text{O}_2$	0.20 <sup>19,23,26,41</sup>	0.08 <sup>29</sup>		g $\text{O}_2$ ·m <sup>-3</sup>
$K_{\text{NO}_2,\text{HET}}$	Saturation coefficient of $X_{\text{HET}}$ for nitrite	0.50 <sup>23,26,41</sup>	0.14 <sup>29</sup>	8.0 <sup>24</sup>	g N·m <sup>-3</sup>
$K_{\text{NO}_3,\text{HET}}$	Saturation coefficient of $X_{\text{HET}}$ for nitrate	0.50 <sup>19,23,24,26,41</sup>	0.12 <sup>29</sup>		g N·m <sup>-3</sup>
$K_{\text{I},\text{O}_2,\text{HET}}$	Inhibition coefficient of $X_{\text{HET}}$ for $\text{O}_2$	2.0 <sup>24</sup>			g $\text{O}_2$ ·m <sup>-3</sup>
$\mu_{\text{max,AOB}}$	Maximum growth rate of $X_{\text{AOB}}$	0.770 <sup>29</sup>	0.481 <sup>37</sup>	1.0 <sup>25</sup>	d <sup>-1</sup>
$b_{\text{max,AOB}}$	Max. endogenous respiration rate of $X_{\text{AOB}}$	-0.077			d <sup>-1</sup>
$\Theta_{\text{T,AOB}}$	Temperature dependency of $X_{\text{AOB}}$ rates	0.105 <sup>25</sup>	0.094 <sup>36</sup>	0.120 <sup>31</sup>	°C <sup>-1</sup>
$K_{\text{NH}_4,\text{AOB}}$	Saturation coefficient of $X_{\text{AOB}}$ for ammonium	1.00 <sup>24</sup>	0.14 <sup>39</sup>	5.00 <sup>27</sup>	g N·m <sup>-3</sup>
$K_{\text{O}_2,\text{AOB}}$	Saturation coefficient of $X_{\text{AOB}}$ for $\text{O}_2$	0.685 <sup>35,38</sup>	0.300 <sup>29</sup>	1.66 <sup>40</sup>	g $\text{O}_2$ ·m <sup>-3</sup>
$\mu_{\text{max,NOB}}$	Maximum growth rate of $X_{\text{NOB}}$	0.720 <sup>31,36</sup>	0.341 <sup>28</sup>	1.338 <sup>41</sup>	d <sup>-1</sup>
$b_{\text{max,NOB}}$	Max. endogenous respiration rate of $X_{\text{NOB}}$	-0.072			d <sup>-1</sup>

$\Theta_{T,NOB}$	Temperature dependency of $X_{NOB}$ rates	0.070 <sup>25</sup>	0.061 <sup>36</sup>	0.078 <sup>31</sup>	°C <sup>-1</sup>
$K_{NO_2,NOB}$	Saturation coefficient of $X_{NOB}$ for nitrite	1.55 <sup>29,38</sup>	0.280 <sup>39</sup>	3.00 <sup>24</sup>	g N·m <sup>-3</sup>
$K_{O_2,NOB}$	Saturation coefficient of $X_{NOB}$ for O <sub>2</sub>	1.05 <sup>24</sup>	0.470 <sup>39</sup>	3.00 <sup>40</sup>	g O <sub>2</sub> ·m <sup>-3</sup>
$\mu_{max,AMX}$	Maximum growth rate of $X_{AMX}$	0.029 <sup>28,36</sup>	0.020 <sup>34</sup>	0.080 <sup>25</sup>	d <sup>-1</sup>
$b_{max,AMX}$	Max. endogenous respiration rate of $X_{AMX}$	-0.0029			d <sup>-1</sup>
$\Theta_{T,AMX}$	Temperature dependency of $X_{AMX}$ rates	0.093 <sup>36</sup>	0.090 <sup>36</sup>	0.096 <sup>25</sup>	°C <sup>-1</sup>

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673 **Table 5** With Aquasim simulated net conversion rates, biomass concentrations and  
674 compound concentrations in the reactor at steady state, with and without heterotrophic activity.

<b>Net conversion rates</b>		with HET	without HET	<b>Biomass conc.</b> [g COD·m <sup>-3</sup> ]	with HET	without HET
r <sub>S,O2</sub>	[g O <sub>2</sub> ·m <sup>-3</sup> ·d <sup>-1</sup> ]	-507	-500	X <sub>HET,O2</sub>	3550	0
r <sub>S,Ac</sub>	[g COD·m <sup>-3</sup> ·d <sup>-1</sup> ]	-266	0	X <sub>HET,NO3</sub>	1340	0
r <sub>S,NH4</sub>	[g N·m <sup>-3</sup> ·d <sup>-1</sup> ]	-209	-209	X <sub>HET,NO2</sub>	334	0
r <sub>S,NO3</sub>	[g N·m <sup>-3</sup> ·d <sup>-1</sup> ]	7.76·10 <sup>-3</sup>	56.9	X <sub>AOB</sub>	2030	684
r <sub>S,NO2</sub>	[g N·m <sup>-3</sup> ·d <sup>-1</sup> ]	6.58·10 <sup>-3</sup>	9.49·10 <sup>-2</sup>	X <sub>NOB</sub>	0	74.2
r <sub>S,H</sub>	[g H·m <sup>-3</sup> ·d <sup>-1</sup> ]	10.8	19.0	X <sub>AMX</sub>	1100	691
r <sub>S,TIC</sub>	[g C·m <sup>-3</sup> ·d <sup>-1</sup> ]	61.6	-6.93			
r <sub>X,tot</sub>	[g COD·m <sup>-3</sup> ·d <sup>-1</sup> ]	104	19.3			

<b>Comp. conc. in reactor</b>		with HET	without HET
S <sub>NH4</sub>	[g N·m <sup>-3</sup> ]	1.18	1.15·10 <sup>-1</sup>
S <sub>NO2</sub>	[g N·m <sup>-3</sup> ]	2.19·10 <sup>-2</sup>	3.16·10 <sup>-1</sup>
S <sub>NO3</sub>	[g N·m <sup>-3</sup> ]	2.59·10 <sup>-2</sup>	190
S <sub>O2</sub>	[g O <sub>2</sub> ·m <sup>-3</sup> ]	7.11·10 <sup>-3</sup>	1.16·10 <sup>-1</sup>
S <sub>Ac</sub>	[g COD·m <sup>-3</sup> ]	6.99·10 <sup>-1</sup>	890

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677 **Table 6** Calculated concentrations and standard deviations of the main types of bacteria in a  
 678 nitrification/anammox reactor with heterotrophic activity (mass balances based on the activated  
 679 sludge model including kinetics (system 5) and including both kinetics and a biomass balance  
 680 (system 7)) and without heterotrophic activity (mass balances based on the activated sludge  
 681 model including kinetics; system 6).

	with HET				without HET	
	including kinetics		including kinetics and biomass balance		including kinetics	
	mg COD·L <sup>-1</sup> concentration	% st.dev.	mg COD·L <sup>-1</sup> concentration	% st.dev.	mg COD·L <sup>-1</sup> concentration	% st.dev.
<b>X<sub>HET,O2</sub></b>	3470	9420	3510	2120		
<b>X<sub>HET,NO3</sub></b>	1290	14900	1310	3590		
<b>X<sub>HET,NO2</sub></b>	483	115000	413	31600		
<b>X<sub>AOB</sub></b>	2040	3620	2030	846	684	2.4
<b>X<sub>NOB</sub></b>	-181	345000	-103	154000	74.0	8.4
<b>X<sub>AMX</sub></b>	1080	5280	1090	1210	694	4.9

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684 **Table 7** Required number of measurements and the corresponding standard deviation of the  
 685 net conversion rate to reach a certain standard deviation for the calculated biomass  
 686 concentration of anammox bacteria with mass balances based on the activated sludge model  
 687 including both kinetics and a biomass balance.

Desired % st.dev. of $X_{AMX}$	Required % st.dev. of the net conversion rates	Required # of measurements if % st.dev. of one measurement is 5 %
50	0.205	595
40	0.164	929
30	0.123	1651
20	0.082	3713
10	0.041	14851

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