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ACCEPTED MANUSCRIPT Biomass segregation between biofilm and flocs improves the control of nitrite-oxidizing bacteria in mainstream partial nitritation and

3 anammox processes

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The control of nitrite-oxidizing bacteria (NOB) challenges the implementation of partial nitritation 36 37 and anammox (PN/A) processes under mainstream conditions. The aim of the present study was to 38 understand how operating conditions impact microbial competition and the control of NOB in hybrid 39 PN/A systems, where biofilm and flocs coexist. A hybrid PN/A moving-bed biofilm reactor (MBBR; also referred to as integrated fixed film activated sludge or IFAS) was operated at 15 °C on 40 aerobically pre-treated municipal wastewater (23 $mg_{NH4-N} \cdot L^{-1}$). Ammonium-oxidizing bacteria 41 42 (AOB) and NOB were enriched primarily in the flocs, and anammox bacteria (AMX) in the biofilm. After decreasing the dissolved oxygen concentration (DO) from 1.2 to 0.17 m_{O2} ·L⁻¹ - with all other 43 operating conditions unchanged - washout of NOB from the flocs was observed. The activity of the 44 minor NOB fraction remaining in the biofilm was suppressed at low DO. As a result, low effluent 45 NO₃⁻ concentrations (0.5 mg_N·L⁻¹) were consistently achieved at aerobic nitrogen removal rates (80 46 $mg_N \cdot L^{-1} \cdot d^{-1}$) comparable to those of conventional treatment plants. A simple dynamic mathematical 47 model, assuming perfect biomass segregation with AOB and NOB in the flocs and AMX in the 48 biofilm, was able to qualitatively reproduce the selective washout of NOB from the flocs in response 49 to the decrease in DO-setpoint. Similarly, numerical simulations indicated that flocs removal is an 50 51 effective operational strategy to achieve the selective washout of NOB. The direct competition for NO₂⁻ between NOB and AMX - the latter retained in the biofilm and acting as a "NO₂-sink" - was 52 53 identified by the model as key mechanism leading to a difference in the actual growth rates of AOB and NOB (*i.e.*, $\mu_{NOB} < \mu_{AOB}$ in flocs) and allowing for the selective NOB washout over a broad range 54 of simulated sludge retention times (SRT = 6.8 - 24.5 d). Experimental results and model predictions 55 demonstrate the increased operational flexibility, in terms of variables that can be easily controlled 56 by operators, offered by hybrid systems as compared to solely biofilm systems for the control of 57 58 NOB in mainstream PN/A applications.

- **Keywords:** Mainstream anammox; partial nitritation/anammox; hybrid system; IFAS; biomass
- 60 segregation; NOB washout; mathematical modelling; nitrite sink

61 **1 Introduction**

Partial nitritation and anammox (PN/A) is a resource-efficient alternative process for the removal of 62 nitrogen from municipal wastewater (MWW) and holds promise to bring wastewater treatment plants 63 64 (WWTP) close to neutral or even positive energy balances (Siegrist *et al.*, 2008, van Loosdrecht and Brdjanovic 2014). PN/A technologies are implemented for the treatment of warm and concentrated 65 66 streams such as digester supernatant ("sidestream PN/A"; Lackner et al., (2014)). Research targeting the direct application of PN/A to more dilute MWW, or "mainstream PN/A", is progressing at a fast 67 pace (De Clippeleir et al., 2013, Gilbert et al., 2015a, Laureni et al., 2016, Lotti et al., 2015). The 68 69 challenges associated with mainstream PN/A relate to the highly variable, dilute and cold characteristics of MWW. Moreover, mainstream PN/A must guarantee volumetric N-removal rates 70 comparable to conventional WWTP (*i.e.*, 100 mg_N·L⁻¹·d⁻¹; Metcalf & Eddy *et al.*, (2013)) and 71 reliably discharge effluent to stringent water quality standards (e.g., below 2 mg_{NH4-N}·L⁻¹ in 72 73 Switzerland; WPO (1998)).

Successful PN/A relies on the concerted activity of aerobic (AOB) and anaerobic ammonium-74 75 oxidizing (AMX) bacteria (Speth et al., 2016). Optimized microbial community engineering 76 strategies are required to favour the growth of AOB and retain the slower-growing AMX, while outcompeting the undesired nitrite-oxidizing bacteria (NOB). Several operational strategies 77 implemented in sidestream applications are not feasible under mainstream conditions. At mesophilic 78 79 temperatures (> 20°C), AOB display higher maximum growth rates than NOB, which allows selective NOB washout at a sufficiently low solids retention time. Conversely, at mainstream 80 temperatures between 10-20°C (in temperate regions), the differences in growth rates are minimal 81 82 (Hellinga et al., 1998). In addition, nitrogen concentrations in the main line are too low for NOB to be inhibited by free ammonia (NH₃) or free nitrous acid (HNO₂) (Anthonisen et al., 1976, Jubany et 83 84 al., 2009). As a result, NOB control and washout cannot be based on maximum growth rates alone,

as is efficiently achieved in sidestream suspended biomass systems (Hellinga *et al.*, 1998, Joss *et al.*, 85 2011). 86

The use of biofilms, either grown on carrier material or in the form of granular bio-aggregates, has 87 88 proven effective to achieve stable and resilient PN/A under mainstream conditions at laboratory scale 89 (Gilbert et al., 2015a, Laureni et al., 2016, Lotti et al., 2015). Biofilms allow for the long solids retention times (SRT) needed to retain AMX, while substrate gradients promote the suppression of 90 91 NOB activity (Brockmann and Morgenroth 2010, Gilbert et al., 2015a, Laureni et al., 2016, Lotti et 92 al., 2014, Pérez et al., 2014). NOB control in biofilm systems is mainly driven by the competition for oxygen with AOB, with the latter usually featuring higher substrate affinities (Brockmann and 93 Morgenroth 2010, Corbala-Robles et al., 2016, Pérez et al., 2014). PN/A operation under oxygen-94 limited NH₄⁺ oxidation can favour nitritation while limiting the aerobic growth of NOB (Brockmann 95 and Morgenroth 2010, Isanta et al., 2015, Pérez et al., 2014). However, operation under oxygen 96 limitation inherently limits the AOB activity as well, and thus the overall process rate (Laureni et al., 97 98 2015, Perez et al., 2014). Moreover, despite the generally accepted higher affinity of AOB for 99 oxygen (Rittmann and McCarty 2001), NOB are known to adapt to low dissolved oxygen concentrations (DO) (Liu and Wang 2013), and several studies have recently reported higher oxygen 100 101 affinities for NOB than AOB (Malovanyy et al., 2015, Regmi et al., 2014, Sliekers et al., 2005). Lastly, although their activity can be suppressed, NOB can persist in the biofilm and become active 102 103 when favourable conditions are re-established, making their long-term suppression in solely biofilm 104 systems challenging (Fux et al., 2004, Gilbert et al., 2015a, Isanta et al., 2015, Laureni et al., 2016, 105 Lotti et al., 2014).

106 Hybrid systems, where biofilms and flocs coexist (also referred to as integrated fixed film activated 107 sludge or IFAS), are currently receiving increased attention for their potential advantages for PN/A 108 applications. Experimental evidence (Laureni et al., 2016, Leix et al., 2016, Malovanyy et al., 2015, 109 Park et al., 2014, Shi et al., 2016, Veuillet et al., 2014, Vlaeminck et al., 2010, Wells et al., 2017,

ACCEPTED MANUSCRIPT Winkler *et al.*, 2011) and numerical results (Hubaux *et al.*, 2015, Volcke *et al.*, 2012) indicate that 110 111 the faster-growing aerobic guilds tend to enrich in the floc fraction, with direct access to dissolved 112 substrates. In turn, AMX have been shown to enrich in the biofilm, where anoxic conditions are 113 achieved. As a result, differential control of the retention times of the bacterial guilds associated with 114 the two biomass fractions is in principle possible (Wett et al., 2015). Moreover, as flocs are less diffusion-limited than biofilms, significantly higher aerobic volumetric conversion rates can be 115 achieved even at low DO (Veuillet et al., 2014). Nonetheless, published data on hybrid systems 116 operated for PN/A remain limited and seemingly contradictory. Hybrid systems at high flocs 117 concentrations above 1 g_{TSS} ·L⁻¹ have been applied at full scale to treat digester supernatant at 118 119 mesophilic temperatures with negligible NOB activity (Veuillet et al., 2014). Conversely, increased 120 NOB activity has been reported in hybrid systems with a fraction of flocs as small as < 10% of total solids (Hubaux et al., 2015, Laureni et al., 2016). The implications of biomass segregation and 121 122 operational conditions for microbial competition in hybrid systems are as yet largely unknown.

123 The aim of this work was to understand the dominant mechanisms controlling the interaction 124 between biofilm and flocs, the influence of operating conditions, and their implications for NOB control in hybrid PN/A systems. The effect of the DO on NOB was assessed experimentally in an 125 IFAS system operated on real MWW at 15°C. In parallel, a simplified dynamic mathematical model 126 127 of the hybrid system was developed to provide a mechanistic interpretation of the experimental results, and to understand how the composition of the flocs and the NOB concentration respond to 128 changes in DO, flocs removal, and AMX activity in the biofilm. The sensitivity of the simulation 129 130 outcome to model parameters was assessed. Relevant scenarios for engineering practice are also 131 discussed.

132 2 Materials and methods

133 2.1 Long-term reactor operation at different DO

A 12 L hybrid MBBR was operated as a sequencing batch reactor (SBR) for PN/A on aerobically 134 135 pre-treated MWW (see next section). The reactor was filled at a volumetric ratio of 33% with K5 biofilm carriers (AnoxKaldnesTM, Sweden; protected surface of 800 m²·m⁻³). The biomass was 136 previously acclimatised to the influent for over one year (Laureni et al., 2016). The reactor was run 137 138 for 565 days at 15.5 \pm 1.0°C. Each SBR cycle consisted of six steps: feeding (5 L of pre-treated 139 MWW, 5 min), anoxic mixing (10 min; 200 rpm), aeration and mixing (variable duration in the range 60 - 200 min; terminated at a residual NH₄⁺ concentration of 2 mg_{NH4-N}·L⁻¹), anoxic mixing (60 min), 140 141 settling (60 min), and effluent discharge (terminated at 7 L fill level; 2 min). The DO was varied between micro-aerobic conditions (*Phases I, III, V*: 0.17 \pm 0.04 mg₀₂·L⁻¹; (Gilbert *et al.*, 2015b)), 142 and aerobic conditions (Phases II, IV: $1.2 \pm 0.2 \text{ mg}_{\Omega 2} \cdot \text{L}^{-1}$ and $1.6 \pm 0.1 \text{ mg}_{\Omega 2} \cdot \text{L}^{-1}$; (Regmi *et al.*, 143 144 2014)) (Figure 2). The total cycle duration varied between 3.5 ± 0.5 and 5.3 ± 0.3 h for operation at high and low DO, respectively. 145

The reactor was equipped with an optical oxygen sensor (Oxymax COS61D), ion-selective electrodes for NH_4^+ and NO_3^- concentrations, and pH and temperature sensors (ISEmax CAS40D), all from Endress+Hauser (Switzerland). The pH was not controlled and remained stable at 7.4 ± 0.2 throughout the experimental period. Operational data are presented in Figure S1.

150 2.2 Municipal wastewater (MWW)

The municipal wastewater was taken from the sewer of Dübendorf (Switzerland). After primary treatment (screen, sand removal and primary clarifier), MWW was pre-treated in an aerated 12 L SBR operated for high-rate organic carbon (as COD) removal at an SRT of 1 d. The pre-treated MWW featured the following characteristics: $54 \pm 13 \text{ mg}_{\text{CODsol}} \cdot \text{L}^{-1}$, $23 \pm 6 \text{ mg}_{\text{NH4-N}} \cdot \text{L}^{-1}$, and < 0.3

- 155 $mg_N \cdot L^{-1}$ of NO₂⁻ and NO₃⁻. Prior to feeding to the PN/A reactor, the pre-treated MWW was stored in
- 156 a temperature-controlled ($< 20^{\circ}$ C) external buffer tank of 50 L to equalize the hydraulic loads.

157 2.3 Control of total suspended solids (TSS) and calculation of their dynamic SRT

In addition to the settling step in the SBR cycle, from day 70 onwards the reactor effluent was filtered through a 10 L filter-bag (50- μ m-mesh; 3MTM NB Series, Nylon Monofilament) placed in a 50 L barrel. The content of the net was centrifuged for 5 min at 2000 × g, and the solids were reintroduced into the reactor on a daily basis. The TSS in the reactor and all activities were measured one cycle after biomass reintroduction.

- 163 The dynamic total SRT was calculated considering only the observed sludge loss in the effluent and
- 164 by sampling (modified from Takács *et al.*, (2008)):

165
$$\operatorname{SRT}_{t+\Delta t} = \operatorname{SRT}_{t} \cdot \left(1 - \frac{X_{effluent} \cdot V_{effluent} + X_{reactor} \cdot V_{sample}}{X_{reactor} \cdot V_{reactor}}\right) + \Delta t$$
 (1)

166 where $X_{effluent}$ is the average TSS concentration in the sock-net effluent ($g_{TSS} \cdot L^{-1}$), $V_{effluent}$ is the total 167 effluent volume discharged during the time interval, V_{sample} is the volume taken out for biomass 168 sampling, $X_{reactor}$ is the TSS concentration in the reactor ($g_{TSS} \cdot L^{-1}$), $V_{reactor}$ is the volume of the bulk 169 liquid phase in the reactor (12 L), and Δt is the time interval between subsequent measurements (d). 170 The aerobic SRT is calculated from the total SRT as follows:

171
$$SRT_{aerobic} = SRT \cdot \frac{t_{aerobic}}{t_{total}}$$
 (2)

where $t_{aerobic}/t_{total}$ is the actual fraction of aerobic time over the total batch time (Figure S1). The development of TSS, SRT and SRT_{aerobic} over time is presented in Figure S2, together with the volumetric particle size distribution of the flocs measured on days 451 and 465 via laser light scattering (Mastersizer 2000, Malvern, UK). During the experiment, SRT_{aerobic} increased from 4.7 to 49.1 days.

177 2.4 Maximum activities of AOB, NOB and AMX, and their segregation between biofilm and

178

flocs

179 The maximum anammox activity (r_{AMX,max}) is defined as the volumetric rate of nitrogen removal (sum of NH_4^+ and NO_2^-) in the absence of DO and under non-limiting concentrations of NH_4^+ and 180 NO₂. r_{AMX.max} was measured *in-situ* once or twice a week. The maximum activities of AOB and 181 NOB ($r_{AOB,max}$ and $r_{NOB,max}$) are defined respectively as the volumetric rates of NH_4^+ oxidation and 182 183 NO₃⁻ production. $r_{AOB max}$ and $r_{NOB max}$ were measured via *ex-situ* batch tests (1 L) run under fully aerobic conditions (> 5 mg₀₂·L⁻¹) and non-limiting concentrations of NH₄⁺ and NO₂⁻. The liquid 184 fraction was sampled during mixing and a proportional number of random carriers were chosen 185 manually. Mixing was provided with a magnetic stirrer (200 rpm) and the temperature was 186 maintained at 15 \pm 1°C. After manually removing all carriers, $r_{AOB,max}$ and $r_{NOB,max}$ of the flocs were 187 measured. The r_{AMX,max} value of the suspension was checked *ex-situ* five times throughout the 188 experimental period and was confirmed to be negligible. NH_4^+ and NO_2^- were supplied as NH_4Cl and 189 NaNO₂ (20-30 mg_N·L⁻¹), and volumetric consumption rates were calculated by linear regression of 190 191 laboratory measurements of 3-4 grab samples from the bulk liquid phase.

192 **2.5** Activities of AOB, NOB, and AMX during regular operation (aerobic step)

The volumetric activities of the three main autotrophic guilds during regular operation (r_{AOB.cvcle}, 193 $r_{\text{NOB,cvcle}}$ and $r_{\text{AMX,cvcle}}$ expressed as $mg_{\text{NH4-N}} \cdot L^{-1} \cdot d^{-1}$, $mg_{\text{NO3-N}} \cdot L^{-1} \cdot d^{-1}$, and $mg_{(\text{NH4+NO2)-N}} \cdot L^{-1} \cdot d^{-1}$ 194 195 respectively) were estimated according to Laureni et al., (2016). In short, during the aerated step of an SBR cycle, the consumption of NH_4^+ , accumulation of NO_2^- and production of NO_3^- were 196 197 followed by laboratory measurements of 3-4 grab samples from the bulk liquid phase. The activities 198 were estimated based on the stoichiometric and kinetic matrix presented in Table 1, with parameters 199 from Table 2. Heterotrophic denitrification during aeration was assumed to be negligible (Laureni et 200 al., 2016).

201 **2.6** Nitrogen removal over the entire SBR cycle and during the aerobic step

Over the entire SBR cycle, the volumetric N-removal rate $(mg_N \cdot L^{-1} \cdot d^{-1})$ was calculated by dividing the difference between the sum of the dissolved nitrogen species $(NH_4^+, NO_2^- \text{ and } NO_3^-)$ in the influent and effluent by the hydraulic retention time (HRT, d). The relative removals (%) of NH_4^+ and total nitrogen are defined as the difference between their influent and effluent concentrations divided by the influent concentrations. The influent and effluent were sampled once per week (Figure S3).

During aeration, the aerobic volumetric N-removal rate $(mg_N \cdot L^{-1} \cdot d^{-1})$ was calculated as the difference between the NH₄⁺ consumption rate and the rates of NO₂⁻ and NO₃⁻ production. The aerobic Nremoval efficiency (%) was estimated by dividing the N-removal rate during aeration by the NH₄⁺ depletion rate.

212 2.7 Growth rate of AOB, NOB, and AMX

The maximum growth rates of AOB ($\mu_{AOB,max}$) and NOB ($\mu_{NOB,max}$) were estimated during *Phase II*, when substrate limitations were minor, based on the measured exponential increase in their maximum activity in the flocs ($r_{i,max}$, Figure 2b), or in their activity during operation ($r_{i,cycle}$, Figure 2c). Most of the activity increase occurred in suspension, where diffusion limitation was assumed to be of minor importance. The potential seeding of AOB and NOB from the biofilm was neglected, possibly resulting in a slight overestimation of $\mu_{i,max}$. The suspended solids mass balance (X_i , with i=AOB, NOB) is expressed as:

220
$$\frac{\mathrm{dX}_{\mathrm{i}}}{\mathrm{dt}} = \left(\mu_{\mathrm{i,max}} - \mathbf{b}_{\mathrm{i}} - \frac{1}{\mathrm{SRT}}\right) \cdot \mathbf{X}_{\mathrm{i}} = \mu_{\mathrm{i,obs}} \cdot \mathbf{X}_{\mathrm{i}}$$
(3)

where $\mu_{i,max}$ and $\mu_{i,obs}$ are the maximum and observed growth rates, respectively, of the guild i (d⁻¹), b_i is the decay rate of the guild i (d⁻¹; set to 0.05 $\mu_{i,max}$), and SRT is the solids retention time (d). The value of $\mu_{i,obs}$ was obtained from the exponential interpolation of the measured increase in activities (r_i, mg_N·L⁻¹·d⁻¹):

(4).

225 $r_{i,t} = r_{i,t-\Lambda t} \cdot \exp(\mu_{i,obs} \cdot \Delta t)$

From Eq. 3 and 4, and considering that growth occurs only during the aerobic time, the maximum growth rate can be estimated as follows:

228
$$\mu_{i,max} = \left(\mu_{i,obs} + b_i\right) \cdot \frac{t_{total}}{t_{aerobic}} + \frac{1}{SRT_{aerobic}}$$
(5)

where $t_{aerobic}/t_{total}$ is the average fraction of aerobic time over the total batch time, and SRT_{aerobic} the average aerobic SRT during the considered period. The SRT was not considered in the estimation of the maximum growth rate of AMX ($\mu_{AMX,max}$), as their growth occurred almost exclusively on the biofilm. The uncertainty associated with $\mu_{i.max}$ was quantified by means of Monte Carlo simulations as previously described (Laureni *et al.*, 2015).

234 **2.8** Amplicon sequencing analyses of the bacterial community compositions in biofilm and

235 **flocs**

The amplicon sequencing method is presented in the Supporting Information, Section S1 (Laureni *et al.*, 2016).

238 **2.9** Analytical methods

The concentration of NH_4^+ was analysed using a flow injection analyser (FIAstar 5000, Foss, Denmark). The concentrations of NO_2^- and NO_3^- were analysed by ion chromatography (Compact IC 761, Metrohm, Switzerland). The COD was measured photometrically with test kits (Hach Lange, Germany). The samples were filtered using 0.45 µm filters (Macherey-Nagel, Germany) prior to analysis. The concentration of total and volatile suspended solids (VSS, TSS) in the mixed liquors was determined according to standard methods (APHA 2005). The total solids (TS) on biofilm carriers were estimated as described previously (Laureni *et al.*, 2016).

246 **3 Mathematical model of the hybrid system**

247 **3.1 Model description**

A dynamic model of the hybrid MBBR operated in SBR mode was developed and implemented in MATLAB (version R2015b, MathWorks Inc.). The MATLAB scripts are available as open-source code in the Supporting Information. The aim of the model was to understand how the composition of the flocs and the NOB concentration respond to changes in DO, fraction of flocs removed per SBR cycle (f_{WAS}), and maximum volumetric AMX activity ($r_{AMX,max}$). To this end, perfect biomass segregation was assumed, with AOB and NOB in the flocs and AMX in the biofilm (Figure 1).

Five soluble compounds were considered: ammonium (NH_4^+) , nitrite (NO_2^-) , nitrate (NO_3^-) , dinitrogen gas (N_2) , and DO.

The AOB, NOB, and AMX processes were modelled according to the stoichiometric and kinetic matrix in Table 1. Unless explicitly stated, parameter values were taken from the literature (Table 2). X_{AOB} and X_{NOB} were assumed to grow in the flocs, and their abundance and activity to be influenced by growth and washout. For the sake of simplicity, the model excluded decay processes. Free ammonia and free nitrous acid inhibitions were considered negligible under mainstream concentrations and pH.

AMX were considered to grow in a deep biofilm (Morgenroth 2008). The primary goal of the 262 modelling was to understand the role of the biofilm as "NO₂-sink": the biofilm was consequently 263 264 modelled as zero-dimensional, and spatial gradients were neglected. In order to discuss the potential effects of diffusion, additional simulations were run with 10-fold increased values for NO₂⁻ and NH₄⁺ 265 affinity constants of AMX. Moreover, as the activity of deep biofilms is transport-limited rather than 266 biomass-limited, the maximum AMX process rate ($\rho_{AMX,max} = \mu_{AMX,max} \cdot X_{AMX}$, mg_{COD}·L⁻¹·d⁻¹; Table 267 268 1) was assumed to be constant during each simulation. This was implemented by considering the 269 concentration of AMX (X_{AMX}) and the process rate as constants. The oxygen inhibition of AMX was 270 not explicitly modelled: deep biofilms are in fact oxygen-limited, and the modelled AMX activity is to be considered the activity resulting from the anoxic biofilm layers. For consistency with the experimental part, the simulation results are presented as a function of $r_{AMX,max}$ (mg_{(NH4+NO2)-N}·L⁻¹·d⁻¹) as obtained by the product of $\rho_{AMX,max}$ and the sum of the stoichiometric coefficients for NH₄⁺ and NO₂⁻ (Table 1).

275 **3.2** Simulation strategy and scenario analysis

The influent was assumed to contain 20 mg_{NH4-N}·L⁻¹ and be devoid of NO₂⁻, NO₃⁻, and COD. Filling, 276 settling, and decanting steps were assumed to be instantaneous. Only the aerated phase was 277 278 simulated dynamically. As in the operation of the experimental reactor, settling was initiated each time the NH₄⁺ concentration equalled 2 mg_N·L⁻¹; this resulted in variable cycle durations depending 279 on biomass activity. Simulations were performed for a temperature of 15 °C at which maximum 280 281 growth rates were estimated in the reactor. The DO was assumed constant, and the volumetric exchange of MWW was 50 % per cycle. The initial concentration of NH_4^+ at the start of each cycle 282 283 was the result of mixing (half of its value at the end of the previous cycle plus half of the influent concentration, *i.e.*, 11 mg_N·L⁻¹). The NO₂⁻ and NO₃⁻ concentrations at the start of each simulated 284 cycle were always equal to half of their values at the end of the previous cycle. A fixed fraction of 285 286 flocs (f_{WAS}) was removed at the end of each cycle. f_{WAS} was defined as the mass removed from the reactor divided by mass of solids present in the reactor, $(X_{removed} \cdot V_{removed})/(X_{reactor} \cdot V_{reactor})$. 287 Simulations were run until a pseudo steady-state was reached, *i.e.*, constant effluent N and flocs 288 289 concentration. Pseudo steady-state were shown to be independent from the initial X_{AOB} and X_{NOB} . 290 The sensitivity of the model outputs was assessed with respect to the ratio between the O₂ affinity 291 constants of NOB and AOB (K_{02,NOB}/K_{02,AOB}) and the ratio between the NO₂⁻ affinity constants of 292 NOB and AMX (K_{NO2.NOB}/K_{NO2.AMX}) (Table S1, Figures S9).

A combination of different $\rho_{AMX,max}$ (0 - 24 mg_{COD}·L⁻¹·d⁻¹; corresponding to $r_{AMX,max}$ 0-300 mg_{(NH4+NO2)-N}·L⁻¹·d⁻¹), and f_{WAS} (0.4 -1.7 %) were simulated for two DO (0.15 and 1.5 mg_{O2}·L⁻¹). These modelled parameter values were explicitly chosen to fall in the range of the experimental 296 values. To assess the impact of the individual control parameters, four specific scenarios are 297 discussed (Table 3).

298 **3.3** Interdependence between f_{WAS}, HRT, and SRT

For an SBR where the reaction phase of the cycle is always extended until the target effluent NH_4^+ 300 concentration is reached (2 mg_N·L⁻¹), the HRT, the f_{WAS}, and ultimately the SRT are interdependent. 301 At pseudo steady-state, the AOB removed at the end of each cycle must equal the growth of AOB 302 during that cycle:

303
$$f_{WAS} \cdot X_{AOB}(T) \cdot V_{reactor} = \int_{\tau=0}^{T} \mu_{AOB}(\tau) \cdot X_{AOB}(\tau) \cdot V_{reactor} \cdot d\tau$$
 (6)

where $X_{AOB}(T)$ is the concentration of AOB at the end of a cycle $(mg_{COD} \cdot L^{-1})$, T is the length of the cycle (d), $V_{reactor}$ is the working volume of the reactor (L), $\mu_{AOB}(\tau)$ is the actual growth rate of AOB at time τ during the cycle (d⁻¹), and $X_{AOB}(\tau)$ is the AOB concentration at time τ (mg_{COD}·L⁻¹). Under the simplifying assumption that over a cycle $\mu_{AOB} \approx$ const. and $X_{AOB} \approx$ const., Eq. 6 can be simplified to

$$309 \qquad f_{WAS} \approx \mu_{AOB} \cdot T \tag{7}.$$

From Eq. 7 it can be seen that the HRT and the cycle time are directly linked: for a given actual growth rate of AOB, increasing f_{WAS} increases T, and thus the HRT. As a result, HRT and f_{WAS} cannot be controlled independently. The value of f_{WAS} also impacts the pseudo steady-state X_{AOB} and X_{NOB} , and lower biomass concentrations result from higher f_{WAS} . Furthermore, this has direct implications on the SRT of the flocs, defined as the average biomass present in the reactor divided by the biomass removed per cycle. Under the simplifying assumption that $X \approx \text{const.}$ over a cycle, it follows that

317
$$\operatorname{SRT} \approx \frac{X \cdot V_{\text{reactor}}}{(f_{\text{WAS}} \cdot X \cdot V_{\text{reactor}})/T} \approx \frac{T}{f_{\text{WAS}}} \approx \frac{1}{\mu_{\text{AOB}}}$$
 (8)

14

- 318 From Eq. 8, after substituting Eq. 7, it can be seen that the SRT is not an independent parameter
- either, but is directly determined by the actual growth rate of the AOB for the given environmentalconditions.

321

Results and Discussion

322 4.1 Long term operation of the hybrid MBBR, and the impact of DO on NOB control

323 4.1.1 Maximum volumetric activities $(r_{i,max})$ segregation between biofilm and flocs

A 12-L hybrid MBBR was operated for mainstream PN/A at 15 °C on aerobically pre-treated MWW, and the impact of the DO on microbial competition and NOB control was investigated. The total and flocs-associated maximum volumetric activities ($r_{i,max}$) of the three main guilds were measured as proxy for their abundance (Figures 2a, b).

Over more than one year the reactor was stably operated as PN/A (*i.e.* prior to *Phase I* in Fig. 2; (Laureni *et al.*, 2016)). During *Phase II*, as a result of the simultaneous increase in DO from 0.17 to 1.2 mg₀₂·L⁻¹ and the improved flocs retention, $r_{AOB,max}$ and $r_{NOB,max}$ increased exponentially (Figure 2b). The observed increase was mainly associated with the flocs (dotted line in Figure 2b). Over the same period, the total suspended solids increased from 0.2 to 1 g_{TSS}·L⁻¹ (Figure S2). The estimated maximum growth rate of AOB ($\mu_{AOB,max}$) and NOB ($\mu_{NOB,max}$) were 0.30 ± 0.06 and 0.34 ± 0.06 d⁻¹, respectively. For AMX, a $\mu_{AMX,max}$ of 0.014 ± 0.004 d⁻¹ was estimated.

The increase in $r_{AOB,max}$ and $r_{NOB,max}$ stopped when the DO was decreased to its initial value of 0.17 mg_{O2}·L⁻¹ (day 115, *Phase III*) while keeping all other operational conditions unchanged. After an apparent delay of over six weeks, $r_{NOB,max}$ started to decrease while the established $r_{AOB,max}$ was maintained in the system (Figure 2b). The loss in $r_{NOB,max}$ was primarily associated with the flocs.

During *Phase IV*, $r_{AOB,max}$ and $r_{NOB,max}$ increased exponentially, in particular when the DO was increased to 1.6 mg_{O2}·L⁻¹ (day 460). Unfortunately, the increase stopped on day 475, when a dramatic drop in all $r_{i,max}$ was observed in correlation with a multiple-day heavy rain event. This also coincided with a 15% loss of TSS in the system, although this alone cannot explain the activity loss. Importantly, all $r_{i,max}$ naturally recovered in less than two months (*Phase V*, Figure 2). All operational conditions are presented in Figure S1.

345 4.1.2 Volumetric activities during regular operation (r_{i,cvcle})

The actual volumetric activities ($r_{i,cycle}$) of the three main guilds were measured during the aerobic step of an SBR cycle to assess the impact of the imposed operational condition on microbial competition. Actual activities are presented in Figure 2c, and the observed yields of NH₄⁺ converted to NO₂⁻ and NO₃⁻ are displayed in Figure 2d.

During periods of high DO (*Phase II* and *IV*), the volumetric activities during regular operation ($r_{i,cycle}$) were comparable to the maximum activities ($r_{i,max}$), indicating that substrate limitations were minor under these conditions (Figures 2a, c). The $\mu_{AOB,max}$ (0.28 ± 0.05 d⁻¹) and $\mu_{NOB,max}$ (0.30 ± 0.06 d⁻¹), estimated during *Phase II*, were in good agreement with those obtained from the increase in $r_{i,max}$.

Decreasing the DO on day 115 (*Phase III*) resulted in an immediate decrease of $r_{AOB,cycle}$ and r_{NOB,cycle}, as both guilds become DO limited (Figure 2c). After a delay of about two months, $r_{NOB,cycle}$ started to decrease progressively in accordance with the behaviour of $r_{NOB,max}$. The decrease in r_{NOB,cycle} coincided with the increase of $r_{AMX,cycle}$, indicating a progressive shift in the competition for NO₂⁻. From day 285 onwards, very little NOB activity was detected as supported by the low NO₃⁻ production. The slight NO₂⁻ accumulation indicated an excess of $r_{AOB,cycle}$ over the available $r_{AMX,cycle}$ (Figure 2d).

The increase in DO on day 375 (*Phase IV*) led to a sharp increase in $r_{AOB,cycle}$ and lead, due to the excess AOB maintained in the system, to a pronounced accumulation of NO₂⁻ to about 60% of the consumed NH₄⁺ (Figure 2d). The $r_{NOB,cycle}$ also increased immediately, due to the NOB persisting in the biofilm, and NO₃⁻ started to accumulate. The exponential increase of $r_{AOB,cycle}$ and $r_{NOB,cycle}$ stopped on day 475 in conjunction with the heavy rain event (Figure 2c, empty arrow).

367 4.1.3 Bacterial community composition of biofilm and flocs

The relative read abundances of AOB, NOB, and AMX in the biofilm and flocs are presented in Figure 3. The dynamics of all individual OTUs detected within the three guilds are shown in Figure 370 S4. In good agreement with the observed $r_{AMX,max}$, AMX were almost exclusively present in the 371 biofilm with relative abundances of up to 15% of the total reads (< 0.1% in suspension). 372 Interestingly, four different OTUs were detected for AMX in the biofilm and displayed different 373 dynamics, suggesting possible fine-scale differentiation in the "*Ca.* Brocadia" lineage. Fluorescence 374 *in situ* hybridization (FISH) micrographs of biofilm cryosections are shown in Figure S7.

375 Significantly lower relative read abundances were observed for AOB and NOB throughout the entire operation (Figures 3b, c). During *Phase III*, the TSS increased from 1 to over 2.5 $g_{TSS} \cdot L^{-1}$ (Figure 376 The relative abundance of AOB (genus Nitrosomonas) progressively increased from 377 S2). approximately 0.5 to over 2.5% in the flocs, whereas the relative abundance of NOB (genus 378 Nitrospira) decreased progressively from 0.4 to below 0.1%. Thus, the observed loss of NOB 379 380 activity (Figure 2) coincided with the actual washout of NOB from the flocs. The relative read abundances of both AOB and NOB guilds during Phase IV increased markedly on the biofilm, 381 supporting the observed increases in rAOB,max and rNOB,max (Figure 2). Two different OTUs were 382 383 identified for AOB with distinct trends in biofilm and flocs.

The ratio of the relative read abundances of AOB and NOB is shown in Figure 3d. AOB were selectively enriched over NOB in the flocs during the period at low DO (*Phase III*); the AOB/NOB ratio increased from 5 to over 20. No major changes in the AOB/NOB ratio were observed in the biofilm.

388 4.1.4 NOB control at low DO: wash-out from the flocs and activity suppression in the biofilm

AOB and NOB grew in the flocs and biofilm. The enrichment of both guilds in the flocs, less diffusion-limited, is in good agreement with previous experimental and modelling reports on PN/A (Hubaux *et al.*, 2015, Park *et al.*, 2014, Veuillet *et al.*, 2014, Vlaeminck *et al.*, 2010, Volcke *et al.*, 2012, Winkler *et al.*, 2011). Also, AOB and NOB displayed comparable maximum specific growth rates as expected at mainstream temperatures (Hellinga *et al.*, 1998). In principle, these conditions would hinder the possibility to differentiate the actual growth rates of the two guilds and selectively wash out NOB as efficiently achieved in sidestream suspended biomass systems (Hellinga *et al.*, 1998, Joss *et al.*, 2011). Nevertheless, prolonged operation at low DO ($0.17 \text{ mg}_{O2} \cdot \text{L}^{-1}$) did result in the selective wash out of NOB from the flocs (Figure 2). This is explained by a distinctive characteristic of hybrid systems, namely the competition for NO₂⁻ between the NOB in the flocs and the AMX enriched in the biofilm acting as a "NO₂-sink". The proposed mechanisms for the selective NOB washout are extensively discussed in the modelling section.

401 The accumulation and persistence of an NOB fraction in biofilms has also been widely reported, and 402 makes the suppression of NO_2^- oxidation challenging in solely biofilm PN/A systems (Fux *et al.*, 403 2004, Gilbert et al., 2015a, Isanta et al., 2015, Lotti et al., 2014, Park et al., 2014, Poot et al., 2016, Veuillet et al., 2014). Here, the actual nitratation activity of the NOB (r_{NOB.cvcle}) in the biofilm was 404 consistently controlled by the DO, and was completely suppressed at 0.17 mg_{O2}·L⁻¹ (*Phase III* and V) 405 presumably due to diffusion limitations. To assess whether r_{NOB,cycle} was suppressed only by DO 406 limitation or also by NO_2^- limitation, $r_{i,cycle}$ were measured under non-limiting NO_2^- concentrations. 407 No increase in r_{NOB,cycle} was observed, confirming that DO rather than NO2⁻ was the limiting 408 substrate for NOB in the biofilm (Figure 2c, vertical black arrows in Phase V). As a result of the 409 selective enrichment of AOB in the flocs, high NO₂⁻ fluxes to the biofilm for AMX can be 410 guaranteed at sufficiently low DO to suppress NOB activity in the biofilm. 411

412 4.1.5 Effluent quality

413 Overall, the wash-out of NOB from the flocs and the suppression of their activity in the biofilm at 414 low DO, resulted in N-removals over $88 \pm 4\%$ and a residual concentration of total N below 3 415 mg_N·L⁻¹ (1.9 ± 0.5 mg_{NH4-N}·L⁻¹, 0.3 ± 0.2 mg_{NO2-N}·L⁻¹, and 0.5 ± 0.3 mg_{NO3-N}·L⁻¹). This is the highest 416 effluent quality reported so far for mainstream PN/A systems (De Clippeleir *et al.*, 2013, Gilbert *et 417 al.*, 2015a, Laureni *et al.*, 2016, Lotti *et al.*, 2014). Moreover, the aerobic N-removal rates achieved 418 (79 ± 16 mg_N·L⁻¹·d⁻¹), at an HRT of 11 ± 2 h, were comparable to those of conventional WWTP

- 419 (Metcalf & Eddy *et al.*, 2013). The dynamics of influent and effluent concentrations are presented in
- 420 Figure S3.

421 4.2 Mathematical modelling of the hybrid MBBR

A simple dynamic model was developed to understand how the NOB concentration in the flocs (X_{NOB}), respond to changes in DO, fraction of flocs removed per SBR cycle (f_{WAS}), and maximum volumetric AMX activity in the biofilm ($r_{AMX,max}$). To assess the impact of the individual control parameters four different scenarios were simulated (Table 3). The dynamics of X_{AOB} and X_{NOB} , and effluent N concentrations are presented in Figure 4, and one cycle at pseudo steady-state is shown for each scenario in Figure S5. The interdependences between the parameters and the impacts of substrate affinities are also discussed.

429 4.2.1 Scenario 1 (baseline): high AOB and NOB enrichment in the flocs

430 A low initial concentration of $1 \operatorname{mg_{COD}} \cdot L^{-1}$ was set for X_{AOB} and X_{NOB} . Prolonged operation at 1.5 431 $\operatorname{mg_{O2}} \cdot L^{-1}$ resulted in the enrichment of both AOB and NOB in the flocs (Figure 4a), similar to 432 experimental observations during reactor operation (*Phase II*, Figure 2). The pseudo steady-state 433 X_{AOB} and X_{NOB} obtained in *Scenario 1* were assumed as initial concentrations for the other scenarios.

434 4.2.2 Scenario 2: the DO controls the selective washout of NOB from the flocs

The DO has a direct impact on the growth rate of both AOB and NOB (see process rates in Table 1). AOB and NOB are also equally exposed to washout, *e.g.* by removing a fraction of flocs at the end of each SBR cycle (f_{WAS}). However, only the NOB growth rate is impacted by the competition for NO₂⁻ with the "NO₂-sink" represented by the AMX in the biofilm. This direct competition for NO₂⁻ between NOB and AMX leads to a difference in the actual growth rates of AOB and NOB (*i.e.*, μ_{NOB} $< \mu_{AOB}$) providing the basis for the selective NOB washout (*i.e.*, $\mu_{NOB} < SRT^{-1} < \mu_{AOB}$).

- 441 The impact of a DO decrease to $0.15 \text{ mg}_{O2} \cdot \text{L}^{-1}$ was assessed in *Scenario 2* to reflect the experimental
- 442 strategy (*Phase III*, Figure 2). Under the imposed DO-limiting condition, and at the fixed f_{WAS} , only

AOB could be maintained in the system while NOB were successfully washed out. High N-removals are achieved (84%; Figures 4b, f). At the same time, due to the decreased AOB activity the HRT increases from 1.6 to 5.9 h (*i.e.* longer cycles are required to achieve the set effluent NH_4^+ concentration). In terms of effluent concentrations, the reduction of the DO limits the aerobic activity (as was the case in the reactor, Figure 2c) and results in the immediate reduction of NO_3^- (Figure 4f).

The numerical results provide a mechanistic interpretation for the experimental observations: the sole reduction of the DO was sufficient to reduce the actual NOB growth rate below the minimum required to prevent their washout. Moreover, the simulations support the possibility to use DO to achieve the selective washout of NOB from the flocs.

452 4.2.3 Scenario 3: increasing the fraction of flocs removed per cycle is an effective strategy to

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achieve selective NOB washout

Decreasing the DO might not always be a viable option at full scale, either because the operational DO is already low or the size of the installed aerators and blowers is not suitable (Joss *et al.*, 2011). Conversely, the selective removal of the flocs from a hybrid MBBR, or of fine particles from a granular sludge system, may be a more feasible option, *e.g.*, via a separate settler (Veuillet *et al.*, 2014), hydrocyclone (Wett *et al.*, 2015), or screen (Han *et al.*, 2016). Simulations were run to assess the effectiveness of increasing the fraction of flocs removed at the end of each SBR cycle as a strategy to achieve the selective washout of NOB.

Numerical results suggest that successful NOB washout can indeed be achieved by increasing f_{WAS} while maintaining all other conditions unchanged. Under *Scenario 3*, only the f_{WAS} was increased to 1.7 % and, as a result, NOB were selectively washed out at an SRT of 6.8 d (Figure 4c). In this case, the actual NOB growth rate (function of DO and NO₂⁻ concentrations, Table 1) is no longer sufficient to compensate for the increased washout. Simultaneously, the significantly lower AOB concentrations maintained in the system result in higher HRT and thus reduced N-loads that can be treated at the same effluent quality (Eq. 7). Nevertheless, in comparison to lowering the DO, 468 increasing f_{WAS} allows a faster NOB washout. From a process control perspective, the proposed 469 simulation examples highlight how in principle NOB can be washed out by only controlling the 470 removal of the flocs.

471 4.2.4 Scenario 4: variations of AMX activity in the biofilm - the "NO₂-sink" - have a direct 472 impact on NOB concentration in the flocs

The NOB in the flocs compete for NO_2^- with the AMX enriched in the biofilm - the "NO₂-sink" here represented by the maximum volumetric AMX activity ($r_{AMX,max}$). Increasing $r_{AMX,max}$, *i.e.* the rate of NO_2^- consumption by AMX, reduces the bulk NO_2^- concentration and consequently the actual NOB growth rate analogously to decreasing the DO.

The possibility of achieving complete and selective NOB washout from the flocs by increasing 477 478 r_{AMX,max} was shown numerically. Under Scenario 4, the increase in r_{AMX,max} resulted in a higher NO₂⁻ 479 consumption, and thus a stronger competition with NOB, which are successfully washed out (Figure 4d). At the same time, simulations indicate that increasing r_{AMX,max} results in slightly lower AOB 480 concentrations, as AMX reduce the NH₄⁺ available for AOB growth, with however minor 481 482 implications in terms of HRT. As a result, a high N-removal is achieved while still maintaining a low HRT. The dynamics in effluent N concentrations are similar to Scenario 2. An immediate decrease of 483 the NO_3^- concentration, due to the reduced NO_2^- available for NOB, is followed by a further 484 progressive reduction as NOB are washed out (Figure 4h). 485

At full scale, the maximum AMX activity can in principle be increased, *e.g.* by bio-augmentation from a sidestream PN/A process (Wett *et al.*, 2015). On the other hand, a partial or complete inhibition of the AMX guild represents the opposite case where NOB may grow in the flocs due to the reduced competition for NO_2^- . Under such circumstances, increasing f_{WAS} and/or reducing the DO may be suitable operational strategies to prevent NOB proliferation, as will be discussed in the next section. 492 **4.2.5** Interdependent impacts of DO, f_{WAS} , and $r_{AMX,max}$, on NOB, and the impact of substrates

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diffusion in the biofilm

To better understand the interdependence between the different control parameters, the pseudo steady-state concentrations of X_{AOB} , X_{NOB} and effluent NO_3^- are shown in Figure 5 as a function of different $r_{AMX,max}$ and f_{WAS} . Two DO concentrations were simulated (0.15 and 1.5 mg_{O2}·L⁻¹), representative of the low and high DO experimental periods. The pseudo steady-state of the four scenarios discussed in the previous sections are highlighted.

499 X_{NOB} and the effluent NO₃⁻ concentration decrease with increasing $r_{AMX,max}$ (*i.e.* the competing 500 "NO₂-sink"). For any given DO and f_{WAS}, there is a minimum r_{AMX,max} required for full NOB washout from the flocs (Figures 5b, e). X_{AOB} also decrease with increasing r_{AMX,max}. In fact, by 501 502 consuming NH₄⁺, AMX reduce its availability for AOB growth (Figures 5a, d). This effect disappears, and X_{AOB} stabilizes, as soon as the NOB are fully washed out. As a matter of fact, when 503 504 present in the system, NOB consume NO_2^- and indirectly favour AOB by decreasing NH_4^+ depletion 505 by AMX. As an example, the case of partial AMX inhibition would be equivalent to moving horizontally to the left in Figure 5: an increased X_{NOB} is to be expected unless *e.g.* DO is decreased 506 507 or/and f_{WAS} is increased.

Additional simulations with a conservative ten-times higher value for both NH_4^+ and NO_2^- affinity constants of AMX were run to assess the effects of substrate diffusion through the biofilm on the modelled pseudo steady-states. Only the case of f_{WAS} equal to 0.5% was considered. As can be seen from Figure 5, differences from the reference case (*i.e.* with unmodified affinity constants) are negligible. It is therefore deemed justified to neglect diffusion effects for the purpose of this work.

513 Overall, when interpreting the numerical results, it is important to consider the simplifying 514 assumptions made in the modelling of the biofilm. AMX inhibition by oxygen was neglected, and the 515 $r_{AMX,max}$ was assumed to be the result of the active AMX in the anoxic layers of a deep biofilm. In 516 addition, no NOB growth in the biofilm was considered. In this respect, it is worth noting that the 517 nitrifying activity of NOB was shown experimentally to be completely suppressed at low DO. 518 Additional simulations with more complex models, including biomass stratification and inhibition 519 processes, are recommended here. Nevertheless, the simplified model allowed to identify the 520 fundamental role played by the AMX-enriched biofilm ("NO₂-sink") in favouring the selective NOB 521 washout from the flocs.

522 4.2.6 The possibility of successful NOB washout from the flocs is not impaired by the values of 523 the affinity constants

In solely biofilm PN/A systems, the ratio of the oxygen affinity constants, K_{02,NOB}/K_{02,AOB}, and the 524 ratio of the NO_2^- affinity constants, $K_{NO2,NOB}/K_{NO2,AMX}$, are reported as the main parameters 525 526 controlling microbial competition (Brockmann and Morgenroth 2010, Hao et al., 2002, Pérez et al., 2014, Picioreanu *et al.*, 2016). For example, Hao *et al.*, (2002) have reported that $K_{02 \text{ NOB}}/K_{02 \text{ AOB}} >$ 527 0.2 and $K_{NO2,NOB}/K_{NO2,AMX} > 3$ is a required condition for successful NOB suppression in a biofilm 528 system modelled at 30°C. In the present study, the sensitivity of the simulation results and the 529 validity of the previously drawn conclusions was tested with respect to the ratios K_{02,NOB}/K_{02,AOB} 530 and $K_{NO2,NOB}/K_{NO2,AMX}$. To ease the interpretation of the sensitivity analysis, $K_{O2,AOB}$ was maintained 531 constant (0.6 mg₀₂·L⁻¹), and the K_{02,NOB}/K_{02,AOB} ratio was varied between 0.14 (Regmi *et al.*, 2014) 532 and 2.00 (Perez et al., 2014) by changing K_{O2,NOB} (Table S1). Simulations were run for the two 533 reference DO of 0.15 and 1.5 mg_{O2}·L⁻¹, and a fixed f_{WAS} of 0.5%. The pseudo steady-state X_{NOB} and 534 535 effluent NO₂⁻ concentrations are displayed as a function of K_{O2,NOB}/K_{O2,AOB} in Figure 6. An overview of X_{AOB} and X_{NOB}, and the effluent concentrations of the dissolved N species, is presented in Figure 536 537 S8.

At a low DO (0.15 mg₀₂·L⁻¹), the value of $K_{O2,NOB}/K_{O2,AOB}$ determines the mechanisms controlling NOB washout. On the one hand, for values of $K_{O2,NOB}/K_{O2,AOB} < 1$, low NO₂⁻ concentrations are modelled (*i.e.* rapidly consumed by NOB and AMX), and the competition with AMX for NO₂⁻ is the dominant mechanism controlling NOB washout. Increasing $r_{AMX,max}$ results in lower NOB pseudo

steady-state concentrations (Figure 6a). Importantly, NOB are successfully washed out in the model 542 even in the extreme case of $K_{O2,NOB}/K_{O2,AOB} = 0.14$ (Regmi et al., 2014), which would make their 543 544 control challenging in solely biofilm systems (Brockmann and Morgenroth 2010, Hao et al., 2002, 545 Pérez et al., 2014). On the other hand, for higher values (K_{O2,NOB}/K_{O2,AOB} > 1), DO limitation starts 546 to play an important role. Due to the reduced NOB growth rate, lower NOB concentrations can be 547 sustained in the system, and NO₂⁻ accumulates if the AMX activity is not sufficiently high (Figure 548 6b). Interestingly, for large $K_{O2,NOB}$ ($K_{O2,NOB}$ / $K_{O2,AOB}$ = 2.00), NOB are washed out from the system 549 even in the absence of AMX and despite high NO₂⁻ accumulation. In this case, the actual NOB 550 growth rate is not sufficient to maintain them in the system at the cycle length set by AOB and the imposed f_{WAS} (Eq. 7). Importantly, if $r_{AMX,max}$ is sufficiently high (*e.g.* > 65 mg_N·L⁻¹·d⁻¹), the NOB 551 552 washout does not depend on K_{O2,NOB}/K_{O2,AOB}.

At a high DO (1.5 mg₀₂·L⁻¹), NOB washout is less sensitive to the value of $K_{O2,NOB}/K_{O2,AOB}$, and the 553 competition for NO_2^- with AMX is the dominant mechanism controlling NOB washout (Figure 6c). 554 Nevertheless, in analogy to the low DO case, NO₂⁻ accumulation occurs for high values of 555 K_{02,N0B}/K_{02,A0B}. Taken together, these results provide a mechanistic hypothesis to explain the 556 seemingly contradictory experimental observations during Phase IV (Figure 2), when only limited 557 NOB enrichment was observed in the flocs despite high DO and pronounced NO₂⁻ accumulation. In 558 general, higher $r_{AMX,max}$ are required for NOB washout (e.g., > 237 mg_N·L⁻¹·d⁻¹) compared to the case 559 560 at low DO.

In terms of NO₂⁻ affinity constants, $K_{NO2,NOB}$ was decreased from a usually assumed value 100 times higher than $K_{NO2,AMX}$ (Hao *et al.*, 2002, Pérez *et al.*, 2014) to a value of 0.1 $K_{NO2,AMX}$ (Figure S9). Decreasing $K_{NO2,NOB}$ increases the competitive advantage of NOB over AMX and results in higher X_{NOB} at pseudo steady-state for any given $r_{AMX,max}$. Nevertheless, within the broad range of values tested, NOB washout can always be achieved provided that a sufficiently high $r_{AMX,max}$ is present (Figure S9). 567 In summary, this work strongly support the increased operational flexibility offered by hybrid 568 systems, as compared to solely biofilm systems, for the control of NOB under mainstream 569 conditions. In fact, irrespective of the values chosen for the affinity constants, it is in principle 570 always possible to control the selective pressure on NOB via DO, f_{WAS} , and/or $r_{AMX,max}$, and achieve 571 their complete washout.

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573 **5 Conclusions**

This study aimed at understanding the mechanisms underlying microbial competition and the control of NOB in hybrid PN/A reactors. To this end, a hybrid MBBR was operated under mainstream conditions and a simple mathematical model of the system was developed. Experimentally, AMX were shown to enrich in the biofilm while AOB and NOB grew preferentially in the flocs. AMX are retained in the biofilm independent of floc removal and they act as a "NO₂-sink". Conversely, AOB and NOB are maintained in the flocs only if their actual growth rates is larger than the imposed washout (*i.e.*, if $\mu > SRT^{-1}$).

- The key mechanisms for selectively washing out NOB from the system are maintaining a sufficiently low SRT for the flocs and limiting NO₂⁻ bulk phase concentrations by means of the AMX "NO₂-sink". AOB growth rates are not affected by NO₂⁻ bulk phase concentrations allowing reactor operation with selective washout of NOB while keeping AOB.
- Experimental results and numerical simulations showed that, for an imposed fraction of flocs removed per SBR cycle or given SRT, NOB can be selectively washed out by decreasing the DO-setpoint, *e.g.*, from 1.2 to 0.17 mg_{O2}·L⁻¹. In this case, while both AOB and NOB actual growth rates decrease; due to the concurrent NO₂-limitation only NOB growth rate is reduced below the washout threshold *i.e.*, $\mu_{NOB} < SRT^{-1} < \mu_{AOB}$.
- In analogy, for a given DO-setpoint, simulations indicated that selective NOB washout can be
 achieved also by increasing the fraction of flocs removed: the actual NOB growth rate
 remains unaffected but is no longer sufficient to compensate for the increased washout.
- Moreover, differently from pure biofilm systems where NOB suppression relies on a larger
 oxygen affinity of AOB than NOB, modelling results suggest that it is in principle always
 possible to selectively wash out NOB by controlling the DO-setpoint and/or the flocs removal
 provided AMX act as "NO₂-sink" in the biofilm.

- 597 Ultimately, this study demonstrates the high operational flexibility, in terms of variables that can be 598 easily controlled by operators, offered by hybrid systems for the control of NOB in mainstream 599 PN/A applications.
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Figure 1: Location of the active biomass in the mathematical model of the hybrid system. The model assumes perfect biomass segregation, with AOB and NOB in the flocs and AMX in the biofilm. $r_{AMX,max}$ is the maximum volumetric anammox activity $(mg_{(NH4+NO2)-N} \cdot L^{-1} \cdot d^{-1})$. f_{WAS} represents the fraction of flocs removed at the end of each SBR cycle.

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Figure 2: Time series of the maximum $(r_{i,max})$ and actual $(r_{i,cycle})$ volumetric activities of AOB, NOB, and AMX in the hybrid MBBR. (**a**) Total maximum volumetric activities of AMX (the activity in the flocs was negligible throughout the experimental period). (**b**) Segregation of maximum volumetric activities of AOB and NOB: total biomass (biofilm and flocs) and floc fraction only. (**c**) Actual volumetric activities measured during the aerobic phase of an SBR cycle. Activities are expressed as follows: AOB, $mg_{NH4-N} \cdot L^{-1} \cdot d^{-1}$; NOB, $mg_{NO3-N} \cdot L^{-1} \cdot d^{-1}$; AMX, $mg_{(NH4+NO2)-N} \cdot L^{-1} \cdot d^{-1}$. (**d**) Yields of NO₂⁻ and NO₃⁻ accumulated relative to the NH₄⁺ consumed during the aerobic phase. *Shaded area*: the average of the DO concentration measured during aeration over the representative periods. *Vertical black arrows*: in (**a**) time when floc retention was improved by filtering the effluent through a 50-µm-mesh sock-net; in (**c**) time when the volumetric activities during regular operation were measured under non-limiting nitrite concentrations. *Vertical empty arrows*: in (**a**, **c**) time of the prolonged rain event.



Figure 3: Time series of the relative abundances of AMX (**a**), AOB (**b**), and NOB (**c**) in the flocs (left yaxis) and biofilm (right y-axis) as estimated by 16S rRNA gene-based amplicon sequencing analysis. The displayed values represent the sum of the relative abundances of all OTUs detected for each guild. For the time series of the single OTUs, see Figure S4. (**d**) Time series of the dimensionless ratio between the relative abundance of AOB and the relative abundance of NOB in the flocs (AOB/NOB - Flocs) and biofilm (AOB/NOB - Biofilm). Shaded area: average operational DO concentration over the representative periods (for values, see Figure 2). Error bars: standard deviation of biological triplicates.



Figure 4: Results from mathematical modelling of dynamics in concentrations of AOB (X_{AOB}), NOB (X_{NOB}), and effluent N towards the pseudo steady-state for the four scenarios detailed in Table 3. Pseudo steady-state in *Scenario 1* is used as initial conditions for Scenarios 2, 3, and 4. Profiles of nitrogen species and biomass evolution during an SBR cycle at pseudo steady-state for the four scenarios are presented in Figure S6. *Vertical thick arrows*: times when scenario-specific modification of operational conditions was implemented.



Figure 5: Concentrations of AOB (**a**, **d**) and NOB (**b**, **e**) in the flocs under pseudo steady-state conditions modelled as a function of the maximum volumetric AMX activity ($r_{AMX,max} mg_{(NH4+NO2)-N} L^{-1} d^{-1}$) for two reference DO, 0.15 and 1.5 mg_{O2}·L⁻¹. (**c**, **f**) Residual concentration of NO₃⁻ in the effluent at pseudo steady-state. NH₄⁺, NO₂⁻ and N₂ concentrations are presented in Figure S5. The different lines represent different f_{WAS} values, as shown in the legend to the right of the figures. The resulting HRT for each f_{WAS} is also reported in the legend. Simulations were run with reference parameters shown in Table 2. Only for the case marked with (*), the ammonium and nitrite affinity constants of AMX were increased by a factor of ten. *Black arrows and numbers in parentheses*: the four scenarios discussed in the text and presented in Figure 4.



Figure 6: Sensitivity analysis. Impact of different $K_{O2,NOB}/K_{O2,AOB}$ on simulated NOB concentrations at pseudo steady-state (**a**, **c**) and corresponding effluent NO_2^- concentrations (**b**, **d**) for the two reference DO (0.15 and 1.5 mg_{O2}·L⁻¹). $K_{O2,NOB}/K_{O2,AOB} = 0.67$ is the reference case (see Table 2). The values of the oxygen affinities for NOB and AOB and their ratio are presented in Table S1. In the simulations, an f_{WAS} of 0.5% was assumed. All concentrations of X_{AOB} and effluent N species at pseudo steady-state are presented in Figure S8. $r_{AMX,max}$ is expressed as $mg_{(NH4+NO2)-N} \cdot L^{-1} \cdot d^{-1}$.

Table 1: Stoichiometric and kinetic matrix describing the growth of aerobic ammonium-oxidizing bacteria (AOB) and aerobic nitrite-oxidizing bacteria (NOB), and anaerobic ammonium-oxidizing bacteria (anammox, AMX). The matrix was used to estimate the activity of the three guilds during regular SBR operation ($r_{i,cycle}$), and for the dynamic model of the hybrid system (Figure 1). In the dynamic model, the maximum anammox process rate ($\rho_{AMX,max} = \mu_{AMX,max} \cdot X_{AMX}$) was assumed constant during each simulation. To this end, the concentration of AMX (X_{AMX}) was considered as a constant and not as a state variable, and is therefore omitted from the matrix.

Component	S ₀₂	$S_{\rm NH4}$	S _{NO2}	S _{NO3}	S _{N2}	X _{AOB}	X _{NOB}	Process rates (p)
	$g_{O2} \cdot m^{-3}$	$g_N \cdot m^{-3}$	$g_{N} \cdot m^{-3}$	$g_N \cdot m^{-3}$	$g_{N'}m^{-3}$	$g_{COD} \cdot m^{\text{-}3}$	$g_{COD} \cdot m^{\text{-}3}$	$\mathbf{g}_{\text{COD}} \cdot \mathbf{m}^{-3} \cdot \mathbf{d}^{-1}$
Processes								
AOB growth	$-\frac{(3.43-Y_{AOB})}{Y_{AOB}}$	$-\frac{1}{Y_{AOB}}-i_{N,AOB}$	$\frac{1}{Y_{AOB}}$	Y		1		$\mu_{AOB,max} \cdot X_{AOB} \cdot \frac{S_{NH4}}{S_{NH4} + K_{AOB,NH4}} \cdot \frac{S_{O2}}{S_{O2} + K_{AOB,O2}}$
NOB growth	$-\frac{(1.14-Y_{\rm NOB})}{Y_{\rm NOB}}$	-i _{N,NOB}	$-\frac{1}{Y_{NOB}}$	$\frac{1}{Y_{NOB}}$			1	$\mu_{\text{NOB,max}} \cdot X_{\text{NOB}} \cdot \frac{S_{\text{NO2}}}{S_{\text{NO2}} + K_{\text{NOB,NO2}}} \cdot \frac{S_{\text{O2}}}{S_{\text{O2}} + K_{\text{NOB,O2}}}$
AMX growth		$-\frac{1}{Y_{AMX}}-i_{N,AMX}$	$-\frac{1}{Y_{AMX}}-\frac{1}{1.14}$	$\frac{1}{1.14}$	$\frac{2}{Y_{AMX}}$			$\rho_{AMX,max} \cdot \frac{S_{NH4}}{S_{NH4} + K_{AMX,NH4}} \cdot \frac{S_{NO2}}{S_{NO2} + K_{AMX,NO2}}$
Composition Ma	atrix							
gTOD	-1		-3.43	-4.57	-1.71	1	1	
gN		1	1	1	1	$i_{\text{N},\text{AOB}}$	$i_{\mathrm{N,NOB}}$	

Aerobic ammonium-oxidizing bacteria (AOB)										
$\mu_{AOB,max}$	d^{-1}	Maximum specific growth rate	0.30	This study*						
Y _{AOB}	$g_{COD} \cdot g_N^{-1}$	Growth yield	0.18	(Jubany et al., 2009)						
K _{NH4,AOB}	$g_{NH4-N} \cdot m^{-3}$	Ammonium half-saturation constant	2.4	(Wiesmann, 1994)						
K _{O2,AOB}	$g_{COD} \cdot m^{-3}$	Oxygen half-saturation constant	0.6	(Wiesmann, 1994)						
i _{N,AOB}	$g_{N} \cdot g_{COD}^{-1}$	Nitrogen content in AOB	0.083	(Volcke et al., 2010)						
Aerobic nitrite-oxidizing bacteria (NOB)										
$\mu_{NOB,max}$	d^{-1}	Maximum specific growth rate	0.34	This study*						
$\mathbf{Y}_{\mathrm{NOB}}$	$g_{COD} \cdot g_N^{-1}$	Growth yield	0.08	(Jubany et al., 2009)						
K _{O2,NOB}	$g_{COD} \cdot m^{-3}$	Oxygen half-saturation constant	0.4	(Blackburne et al., 2007)						
K _{NO2,NOB}	$g_{NO2-N} \cdot m^{-3}$	Nitrite half-saturation constant	0.5	(Wiesmann, 1994)						
i _{N,NOB}	$g_{N} \cdot g_{COD}^{-1}$	Nitrogen content in NOB	0.083	(Volcke et al., 2010)						
Anaerobic ammonium-oxidizing bacteria (AMX)										
ρ _{AMX,max}	$mg_{COD} \cdot L^{-1} \cdot d^{-1}$	Maximum AMX process rate	0 - 24	Assumed**						
Y _{AMX}	$g_{COD} \cdot g_N^{-1}$	Growth yield	0.17	(Strous et al., 1998)						
K _{NH4,AMX}	$g_{NH4-N} \cdot m^{-3}$	Ammonium half saturation constant	0.03	(Volcke et al., 2010)						
K _{NO2,AMX}	$g_{NO2-N} \cdot m^{-3}$	Nitrite half saturation constant	0.005	(Volcke et al., 2010)						
i _{N,AMX}	$g_{N} \cdot g_{COD}^{-1}$	Nitrogen content in AMX	0.058	(Volcke et al., 2010)						

Table 2: Kinetic and stoichiometric parameters.

*Estimated from the maximum activity increase at 15°C during *Phase II* (Figure 2a).

** Corresponding to $r_{AMX,max}$ in the range observed experimentally at 15°C, 0-300 mg_{(NH4+NO2)-N}·L⁻¹·d⁻¹

3. Values of the control peremeters for the four tested scenar









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Highlights

- Hybrid PN/A systems provide increased operational flexibility for NOB control
- AOB and NOB enrich primarily in the flocs, and AMX in the biofilm ("NO2-sink")
- AMX use NO₂⁻ allowing to differentiate AOB and NOB growth rates
- A decrease in DO or an increase in floc removal leads to selective NOB washout from flocs
- The activity of the minor NOB fraction in the biofilm is suppressed at limiting DO

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